VIJAYANAGARA SRI KRISHNADEVARAYA UNIVERSITY

BALLARI

REVISED REGULATIONS GOVERNING THE DEGREE OF DOCTOR OF PHILOSOPHY OF VIJAYANAGARA SRI KRISHNADEVARAYA UNIVERSITY, BALLARI FRAMED UNDER SECTION 44 WITH SETION 31(2) (II) OF THE KARNATAKA STATE UNIVERSITY ACT 2000

2019

Amendments in the existing Regulations Governing the Degree of Doctor of Philosophy (Ph.D.) of Vijayanagara Sri Krishnadevaraya University, Bellary

(Framed under Section 44 read with section 31 (2) (ii) of the KSU Act, 2000)

Preamble

The University Grants Commission has considered and approved the U.G.C. Minimum Qualifications Required for the Appointment and Career Advancement of Teachers in Universities and affiliated Institutions (3rd Amendment) Regulations 2009 and also the in supersession of the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulation, 2009, notified in The Gazette of India [No. 28, Part III- Section 4] for the week July 11-July 17, 2009 and has directed all Universities vide letter No.F.No.1-1 (2002) (PS) Pt file III, dated 20.08.2009 to amend the concerned Regulations accordingly.

As per the Regulation of Minimum Qualifications required for the Appointment and Career Advancement of Teachers (3rd amendment), "NET/SLET shall remain the minimum eligibility condition for recruitment and appointment of Assistant Professors/Lecturers in Universities / Colleges / Institutions. Provided, however, that Candidates who are or have been awarded their Ph.D. Degrees in compliance with the `University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree), Regulation 2009', shall be exempted from the requirement of minimum eligibility condition of NET/SLET for recruitment and appointment of Assistant Professor or equivalent positions in various Universities / Colleges / Institutions."

University Grants Commission (Minimum Standards and Procedure for Award of M.PHIL./PH.D Degrees) reconsidered and approved these Regulations on 5th May, 2016 and thereafter.

University Grants Commission, New Delhi (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018, 23rd July, 2018 and thereafter.

Salient Features

01. Admissions shall be based mainly on the qualifying exam marks, Entrance Test and an Interview duly following the merit-cum-reservation policy of the State Government.

02. Only the predetermined number of students shall be admitted to the Ph.D. Programme.

03. Allocation of Research Supervisor for a selected student shall be decided by the Department based on the number of student vacancies per faculty member, the available specialization among the faculty guides and the student's research interest as indicated by the student during interview.

04. The selected student has to undertake course work which shall be treated as pre-Ph.D. preparation.

05. Research work may be carried out either on Full-Time basis or on Part-Time basis.

06. Thesis shall be adjudicated by a Board of Adjudicators consisting of three examiners including the Research Supervisor, out of which preferably one shall be from outside the State/Country.

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

01. Title and Commencement

1.1. These Regulations shall be called, **Regulations Governing the Degree of Doctor of Philosophy (Ph.D.) of Vijayanagara Sri Krishnadevaraya University, Bellary 2011.**

1.2. These Regulations shall come into force from the date on which it is assented to by the Chancellor.

02. Definitions: In these Regulations, unless the context requires otherwise or it is specifically so defined,

2.1. **University** means Vijayanagara Sri Krishnadevaraya University, Bellary established and incorporated under Section 3 of the KSU Act, 2000.

2.2. **Degree** means the Degree of Doctor of Philosophy (abbreviated as Ph.D.).

2.3. **Fee** means the fee prescribed by the University from time to time for the Ph.D. Programme.

2.4. **Entrance Test** means the test taken by the applicants who seek to qualify themselves for registration for Ph.D. Programme.

2.5. **Pre-registration Colloquium** means the presentation of the Ph.D. proposal/outline prepared by the candidate before the Doctoral Committee to prove his/her preparedness to take up the research work.

2.6. **Course Work** means the courses prescribed as a part of Ph.D. Programme and which all the candidates shall successfully complete as a pre-requisite to pursue Ph. D programme.

2.7. **Research Supervisor/Guide** means the qualified faculty who is recognized by the University as Research Supervisor/Guide to guide the candidates for their Ph.D. Programme.

2.8. **Co-supervisor/Co-guide** means the recognized Research Supervisor who guides and supervises the inter-disciplinary research of a candidate as the second supervisor along with the Research Supervisor.

2.9. **Department Council** means the Council comprising the teachers of the University Department who possess Ph.D. Degree.

2.10. **Doctoral Committee** means the Committee constituted by the University to assess and recommend the applications to the Board of Studies on the basis of suitability of the Ph.D. applicant/research proposal for registration and also to review the progress of the candidates, and to permit the candidate to submit the thesis after reviewing the work of the candidate in the pre-submission colloquium.

2.11. **Board of Studies** means the Board of Studies (Post-Graduate) of the University in the discipline/subject concerned.

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

2.12. **Research Proposal/Outline** means a brief write-up in the form of research proposal on the proposed research work which shall be submitted by the candidate along with the application (Application – B) for provisional registration for Ph.D. programme.

2.13. **Synopsis** means the final synopsis of the completed research work which shall be submitted by the candidate.

2.14. **Sponsored Candidate** means those permanent employees of educational and/or research institutions and organizations of public/private sector deputed to pursue Ph.D. including the permanent teachers on FIP/QIP.

2.15. **Foreign Students** means those who are foreign citizens and who have completed their master's degree or equivalent recognized by UGC as equivalent to P. G. Degree from a recognized foreign university/institution.

2.16. **Academic Council** means Academic Council of the university constituted under Section 30 of the KSU Act, 2000.

2.17. **Refereed/Reputed Journals** means a professional or literary journal or publication in which articles or papers are selected for publication by a panel of referees who are experts in the field.

Added and Modified as 2.17

To be modified as per UGC, ***New Delhi,*** Public ***Notice***, F-1-1/2018, 18th September, 2018. (1 & 2)

2.17 Refereed/Reputed Journals means a UGC Care research journal List or professional or literary journal or publication in which articles or papers are selected for publication by a panel of referees who are experts in the field.

03. Subject/Title of Research and Nature of Research Programme

3.1. Subject: The subject of research shall normally relate to the main branch of knowledge chosen by the applicant at the level of his/her Post-Graduate studies.

3.2. Inter-disciplinary Research: A candidate who is willing to take up research in a subject other than the one chosen for Post-Graduate Degree and/or in a subject which is of an inter-disciplinary nature shall also be eligible for registration as follows:

3.2.1. The candidate shall be eligible for registration provided the research topic and the proposal are recommended by the Doctoral Committee and approved by the concerned Board of Studies of the subject/ discipline wherein he/she wants to work for Doctoral Degree. In this case, the Degree shall be awarded in the subject/discipline in which he/she has applied/registered for Ph.D. work. Further, if the Research Supervisor requires, a co-supervisor from another discipline (i.e., the subject chosen by the candidate at his/her Master's Degree) may be taken.

3.2.2. If the candidate wants to pursue the Ph.D. Degree in the subject/discipline other than the one which he/she has chosen for P.G Degree, then a Special Board

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 104

comprising of the Chairpersons of both the Boards of Studies and Departments shall examine the proposal and decide the Department to which the application shall be sent for further processing. Once, the special Board decides and sends the application to a Department, then all the works shall be carried out in that Department under the supervision of a Research Supervisor of that Department. Further, the Degree shall be awarded in the subject/ discipline of the Department to which the application is sent.

3.3. There shall be two types of Research Candidates – one, Full-Time and another, Part-Time. Part-time Ph.D. programme for the benefit of working persons such as teachers employed in P.G Departments of the University, affiliated/constituent colleges of the University or any other Indian University/Research Institution/Organization, and also those working as Librarians/Physical Education Personnel. There shall be however be no bar on others to take up Doctoral work on Part-Time basis.

3.4. The employees who take up Ph.D. programme on Full-Time basis shall produce a certificate from their employer-organizations for having sanctioned study leave. Other candidates who take up Ph.D. programme on full time basis shall give an undertaking to the effect that they will not take up any job during the period of research.

Additional clause added as 3.5

3.5 Medium of instruction of Ph.D. Programme:

Medium of instruction of Ph.D. programme including entrance test and course work shall be English only. As per University Grants Commission, New Delhi (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018, 23rd July, 2018 for plagiarism test Research scholar shall write and submit his/her all research work (Colloquium proposals, research paper, seminar paper, synopsis, final thesis, etc) of Ph.D. in English. This rule is not applicable to the departments of languages such as Kannada, Hindi and other regional languages.

04. Eligibility to apply for Admission to Ph.D. Programme

4.1. Any candidate who has obtained a Post-Graduate Degree from a recognized University including foreign students (sponsored by their Embassies) and sponsored candidates securing not less than 55 Per cent marks at the Post-Graduate Degree shall be eligible to apply for the PhD Degree Programme.

4.2. In the case of SC/ST/Cat-I/Physically challenged candidates, and those who are appointed on regular basis prior to 19th September, 1991 as teachers, librarians and physical education personnel in colleges and University Departments, the minimum marks shall be 50 Per cent provided they (i.e., employees) are continuing in service at the time of submission of application for registration.

4.3. If the results of Master's Degree are declared in the form of grades/credits/ cumulative points in their marks cards/transcripts, such grades/points shall be

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

converted into percentage to assess the minimum eligibility criteria as specified above in Regulations 4.1 and 4.2.

05. Intake:

5.1. Each Research Supervisor/Guide shall guide not more than eight candidates at a time including guiding the candidates who have registered for Ph.D. degree in other universities (acceptance of guideship of other universities shall be with the permission of the University), out of which one seat each shall be reserved for (a) SC (b) ST / Category-I and (c) OBC candidates.

Added and modified in the existing one as 5.1

***To be Amended as per* University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations, *Notification,* 2016, 05th May, 2016. (6.5)**

5.1 A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than Eight (8) Ph.D. scholars. An Associate Professor//Co-supervisor as Research Supervisor can guide up to a maximum of six (6) Ph.D. scholars and an Assistant Professor//Co-supervisor as Research Supervisor can guide up to a maximum of four (4) Ph.D. scholars.

The above numbers shall also include the candidates who have registered for Ph.D. degree in other universities (acceptance of guideship of other universities shall be with the permission of the University), out of which one seat each shall be reserved for:

(i) Professor (a) SC –(1), (b) ST/Category-I-(1), and (c) OBC candidates (2)

(ii) Associate Professor (a) SC-(1), (b) ST/Category-I –(1) and (c) OBC candidates (1)

(iii) Assistant Professor (a) SC/ST-(1) (b) Category-I/OBC candidates (1).

5.2. The seats reserved for SC and ST candidates shall be interchangeable (i.e., SC vacancy shall be filled with ST candidate and vice-versa if there is no eligible candidate from SC or ST). However, they shall not be transferred to General Pool.

5.3. Department shall be taken as one unit for the purpose of reservation and each Supervisor shall be allotted one SC/ST/OBC candidates.

Added and modified clause added as 5.3

5.3 Department shall adhere to the National/State-level reservation policy as applicable from time to time such as Roster, HK and Non-HK, 10 Per Cent EWS reservation policy.

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

06. Notification of Vacant Seats:

The University shall issue a notification inviting applications for Ph.D. programme from the eligible candidates mentioning the number of seats available in each subject. The notification shall be issued as press note in leading newspapers and on University Website once in a year preferably in the month of August.

Added and modified in the existing one as 06

***To be Amended as per* University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations, *Notification,* 2016, 05th May, 2016. (5.2.2)**

06. **University notify well in advance in the institutional website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in the regional language, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination centre(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates;**

07. Submission of Applications and their Processing:

Applications by the eligible and interested candidates shall be submitted as follows.

7.1. Submission of application to the chairperson of the concerned P.G Department (this application shall be coded as **Application –A, enclosed as Annexure-I**).

7.2. The Chairperson of the P.G. Department, with the help of the Department Council, shall arrange for (a) scrutiny of applications to determine the eligibility including that of the applicants who are eligible for exemption from Entrance Test, (b) prepare the consolidated list of applicants, (c) university centrally/department wise conduct the Entrance Test and evaluation – get the question papers set, conduct the test, get the answer scripts valued and (d) arrange for pre-registration colloquium.

7.3. Subject to completion of the Entrance Test and pre-registration colloquium, the candidates (including those who are exempted from Entrance Test) shall submit another application (**Application–B, enclosed as Annexure-II**) along with the Research Proposal/Outline to the Chairperson of the concerned P. G. Department for Provisional Registration for Ph.D. Programme after paying the requisite fee to the University.

7.4. The Chairperson of the Department shall send the applications along with the recommendation of the Doctoral Committee to the chairperson of the Board of Studies for its approval. Chairperson of the Board shall return them after approval or otherwise to the chairperson of the P. G. Department who shall forward them to the University for Provisional Registration.

7.5. After the completion of the course work, the successful candidates shall submit the final application (**Application–C, enclosed as Annexure-III**) to the chairperson of the P.G Department who shall forward them to the University for confirmation of Registration for Ph.D. programme.

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

08. Entrance Test:

8.1. All the applicants who possess at least the minimum percentage of marks at their P.G. Degree as specified above in clause 4.1 to 4.3 shall appear for the Entrance Test.

Additional clause added as 8.1.1 and 8.1.2.

To be Amended as per **University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations, *Notification,* 2016 (5.4.1)**

To be added as per **University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) (1st amendment) *Ph.D.* Regulations *Notification* 2018, 27th August, 2018**

8.1.1 Candidate has to obtain minimum 50 Per cent marks at Entrance Test to be eligible Ph.D. Degree Programme.

8.1.2 A relaxation of 5 % of marks (from 50% to 45%) shall be allowed for the candidates belonging to SC/ST/Cat-I/EWS (Non-Creamy layers)/Differently-abled category in the entrance examination.

8.2. However, (a) foreign and sponsored candidates, (b) teachers on FIP/QIP, (c) candidates who have cleared the UGC/UGC-CSIR JRF Test/ NET/ GATE/ GRE shall be eligible for exemption from the Entrance Test.

Added and modified in the existing one as 8.2

To be Amended as per **University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations, *Notification,* 2016 (5.1)**

8.2 **UGC-NET (including JRF)/UGC-CSIR NET (including JRF)/DST Inspire Fellowship/GATE/SLET/KSET/teacher fellowship holder or have passed M.Phil., programme** shall be eligible for exemption from the Entrance Test

8.3. The syllabus for the Entrance Test and other details pertaining to the Entrance Test shall be finalized by the Departmental Council.

Added and modified in the existing one as 8.3

To be Amended as per **University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations, *Notification,* 2016 (5.4.1)**

8.3. **The syllabus of the Entrance Test shall consist of 40% of research methodology and 60% shall be subject specific and the syllabus and other details pertaining to the Entrance Test shall be finalized by the respective Departmental Council.**

8.4. The following pattern shall be followed by all the departments for conducting Entrance Test.

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 104

8.4.1. **Maximum marks for Entrance Test**: 100.

8.4.2. **Nature of questions**: Multiple Choice-answer Questions (MCQs).

8.4.3. **Syllabus for Entrance Test**: Research Methodology and cognate/core specific subjects of the respective Department which is conducting the Entrance Test,

8.4.4. **Number of Questions**: 100 questions, each carrying 1 mark, 40% of the questions shall be from Research Methodology and the remaining 60% from the cognate/core specific subjects. The Department Council shall prepare the course inputs for the Entrance Test.

8.4.5. **Duration of Entrance Test**: Two hours (120 minutes).

Additional clause added as 8.5.

To be added as per **University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations *Notification* 2016, 05th May, 2016. (5.4.2)**

8.5 **An interview/viva-voce to be organized by the department where the candidates are required to discuss their research interest/area through a presentation before a duly constituted Department Council. The interview/viva voce shall also consider the following aspects, viz. whether the candidate possesses the competence for the proposed research; the research work can be suitably undertaken at the Institution/College and the proposed area of research can contribute to new/additional knowledge.**

09. Preparation of Merit List of Successful Candidates:

9.1. After the Entrance Test, Consolidated Merit List of all the candidates (including those who are exempted from Entrance Test) shall be prepared as per Merit cum Reservation Policy on the basis of the marks obtained in the entrance test, interview and marks obtained in the qualifying examination in the ratio of 50:50. However, the candidate has to secure overall 50% marks (45% for SC/ST/Cat-I).

Added and Modified as 9.1

To be Amended as per **University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations, *Notification*, 2016**

To be added as per **University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) (2nd amendment) *Ph.D.* Regulations *Notification* 2018, 16th October, 2018 (02)**

9.1. **After the process of result of Entrance Test and Interview/Viva-voce, Consolidated Merit List of a) the candidates those who are exempted from Entrance Test and b) those who have written Entrance Test shall be**

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

prepared as per Merit cum Reservation Policy on the basis of the marks obtained in the entrance test, interview and marks obtained in the qualifying examination as indicated in 9.2 and 9.2(a).

9.2. The candidates who have cleared the UGC/UGC-CSIR, National Eligibility Test for Junior Research Fellowship/GATE/GRE/ and the teachers on FIP/QIP shall be placed first in the Consolidated Merit List based on their percentage of marks secured by them at their qualifying examination and interview in the Ratio of 50:50.

Added and modified in the existing one as 9.2 and 9.2.(a)

9.2. **The candidates who have cleared the UGC-NET (including JRF)/UGC-CSIR NET (including JRF)/GATE/ DST Inspire Fellowship/SLET(KSET)/teacher on fellowship (FIP/QIP) holder or have passed M.Phil. Programme shall be placed based on their percentage of marks secured by them at their qualifying PG examination and interview in the Ratio of 70:30 respectively.**

Sl. No.	Particulars
1.	70% weightage in qualifying PG examination
2.	30% weightage of performance in the interview/Viva-voce
3.	Total 100 % for those who are exempted from Entrance Test

9.2(a) **The merit list for those candidates who appear for the written entrance test shall be prepared by taking the candidates marks at their qualifying examination, written entrance test and interview as in the following table:**

Sl. No.	Particulars
1.	50% of weightage in qualifying PG examination
2.	35% weightage of performance Written entrance test
3.	15% weightage of performance in the interview/Viva-voce
4.	Total 100% for those who are not exempted from Entrance Test

However, candidates who do not secure over all 50% of marks shall not be qualified for the Ph.D. admission.

9.3. Names that appear in the Consolidated Merit List shall be considered for the present vacancies only.

10. Procedures for Recognition of Research Supervisor/Guide:

10.1. All Professors Readers/Associate Professors in P.G Departments of the University, University Librarian and Deputy Librarians, Director and Deputy Directors of Physical Education of the University with Ph.D. Degree who are working on regular basis shall be eligible to supervise Doctoral candidates.

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

Added and modified in the existing one as 10.1

To be added as per **University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations *Notification* 2016, 05th May, 2016. (6.1) *and***

10.1. **All regular Professor/Professors working as Statutory Officers in the university, Associate Professor of the university, Librarian and Deputy Librarians, Director and Deputy (Professor/Associate Professor Cadres) Directors of Physical Education of the University with Ph.D. degree shall be eligible to supervise Doctoral candidates.**

10.2. Assistant Professors/Lecturers in the Post-Graduate departments of the University who have doctoral degree in the relevant subject and at least three years of independent research experience after the Ph.D. degree as evidenced by publications in refereed/reputed journals shall be eligible to be recognized as Research Supervisors/Guides in their subjects provided they have at least three years of teaching experience on regular basis and a minimum of five publications in reputed journals. Further, Assistant Librarians and Assistant Directors of Physical Education of the University with Ph.D. shall also be eligible, provided they have permanent teaching or research experience of three years after obtaining PhD degree and a minimum of five publications in reputed journals.

Added and Modified as 10.2

To be added as per **University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations *Notification* 2016, 05th May, 2016.** (6.2)

To be modified as per **UGC, *New Delhi,* Public *Notice*, F-1-1/2018 (Journal/CARE), 16th September, 2018. (1 & 2)**

10.2 Any regular Assistant Professor, Assistant Librarians and Assistant Directors of Physical Education of the university/institution deemed to be a university with a Ph.D. degree and at least two research publications other than Ph.D work with single author or as a first author/corresponding author in their concerned discipline in UGC-Care listed/refereed journals may be recognized as Research Supervisor. Provided that in areas/ disciplines where there is no or only a limited number of UGC-Care listed/refereed journals, the university may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing in respective BOSs.

The external supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the Doctoral Committee.

10.3. Teachers, Librarians and Directors of Physical Education with Ph.D. Degree working in the affiliated/constituent colleges of the University which are recognized by the University as Research Centres and having permanent teaching or research experience of three years after obtaining Ph.D. shall also be eligible

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

for recognition as Research Supervisors provided they have at least five publications in refereed/ reputed journals.

Modified as 10.3

***To be* Modified *as per* University Grants Commission, *New Delhi Notification* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations, 2016, 05th May, 2016. (6.1) and**

***To be modified as per* UGC, *New Delhi,* Public *Notice*, F-1-1/2018 (Journal/CARE), 16th September, 2018. (1 & 2)**

10.3. **Teachers, Librarians and Directors of Physical Education with Ph.D. Degree working in the affiliated/constituent colleges of the University which are recognized by the University as Research Centers and having permanent teaching or research experience shall also be eligible for recognition as Research Supervisors provided they have at least Two publications in UGC Care Listed/refereed/ reputed journals.**

10.4. Scientists/Professionals working in recognized research institutions, recognized as Research Centers by the University, who have doctoral degree in the concerned/related discipline and having at least three years of independent research experience after obtaining Ph.D. degree and a minimum of five publications in refereed/ reputed journals shall also be eligible for recognition as Research Supervisors. However, no University shall recognize a college/institution affiliated to another University as its Research Centre.

Clause 10.4 Nullified

***To be* Nullified *as per* University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations *Notification* 2016, 05th May, 2016. (6.1)**

So next subsequent number shall change to 10.4

10.4. In all the above cases (Regulations 10.1 to 10.4), the Board of Studies shall satisfy itself that the person has a sustained record of independent Post-Doctoral Research and Publications.

Modified as 10.4

***To be modified as per* University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations *Notification* 2016, 05th May, 2016. (6.1)**

10.4 **In all the above cases (Regulations Clause 10.2 to 10.3), the Board of Studies shall satisfy itself that the person has a sustained record of independent Post-Doctoral Research and Publications.**

10.5. A Research Supervisor shall not opt to become co-supervisor for more than five candidates.

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

Modified in the existing one as 10.5

To be added as per **University Grants Commission, *New Delhi Notification* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations, 2016, 05th May, 2016. (6.1)**

10.5. **A Research Supervisor shall not opt to become co-supervisor for more than 50 % candidates.**

10.6. In the cases of interdisciplinary subjects, a candidate may have two supervisors of which one is the Research Supervisor and another is Co-supervisor. However, either the Research Supervisor or Co-supervisor shall be from the P.G Department of the University.

Additional clauses added

To be added as per **University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations, *Notification,* 2016, 05th May, 2016 (10.1, 10.2 & 10.3)**

10.7 **Colleges may be considered eligible to offer Ph.D. programmes only if they satisfy the availability of eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities as per these Regulations laid down by University.**

10.8 **Post-graduate Departments of Colleges, who have completed five years, Research laboratories of Government of India/State Government with at least two Ph.D. qualified teachers/scientists/other academic staff in the Department concerned along with required infrastructure, supporting administrative and research promotion facilities as per these Regulations, stipulated under sub-clause 10.9, shall be considered eligible to offer Ph.D. programmes. Colleges/Research Institute should additionally have the necessary recognition by the University under which they operate to offer Ph.D. programme.**

10.9 Colleges with adequate facilities for research as mentioned below alone shall offer Ph. D. programmes:

10.9.1 In case of science and technology disciplines, exclusive research laboratories with sophisticated equipment as specified by the University concerned with provision for adequate space per research scholar along with computer facilities and essential software, and uninterrupted power and water supply;

10.9.2 Earmarked library resources including latest books, Indian and International journals, e journals, extended working hours for all disciplines, adequate space for research scholars in the Department/library for reading, writing and storing study and research materials;

10.9.3 Colleges along with above (10.9.1 & 10.9.2 may also access the required facilities of the neighboring University/Colleges, or of those

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

Institutions/Colleges/R&D laboratories/Organizations which have the required facilities.

11. Allotment of Research Supervisor/Guide:

11.1. After the finalization of Consolidated Merit List but before the Pre-registration Colloquium, the chairperson of the P. G. Department shall convene a meeting of the Department Council to allot the candidates to the Research Supervisors based on the merit list, specialization stream of the candidate and the Research Supervisor, and the willingness of both the Research Supervisor and the Candidate.

11.2. After the allotment of candidate to the supervisor, the candidate shall finalize the research topic/title and proposal in consultation with the Research Supervisor for presentation before the Doctoral Committee.

12. Change of Research Supervisor/Guide:

12.1. Generally, change of Research Supervisor/Guide shall not be entertained and permitted.

12.2. Under extraordinary circumstances such as death or disability on health ground of the supervisor or transfer of the Supervisor, the change of Research Supervisor shall be permitted by the University at the request of the Candidate and on the recommendation of the chairperson of the P.G Department.

12.3. In case of any conflict between the Research Supervisor and the Candidate, the Chairperson of the P. G Department, on the receipt of request letter either from the Candidate or from the Research Supervisor or both, shall refer the matter to the Doctoral Committee. The Doctoral Committee shall examine the case and send its report/recommendation to the Chairperson of the P. G Department who in turn shall forward it to the Vice Chancellor. The decision of the Vice Chancellor shall be final in this regard.

Additional clause added

To be added as per **University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations, *Notification,* 2016, 05th May, 2016. (6.6)**

12.4 **In case of relocation of an Ph.D. women scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.**

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Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

13. Doctoral Committee:

13.1. There shall be a subject-wise Doctoral Committee constituted by the University for the purpose of smooth conduct of Ph.D. programme. The Committee shall comprise,

Chairman of P. G Board of Studies:	Chairman
Chairman of Department Council:	Member
Concerned Research Supervisor:	Member
One Internal Expert (nominated by the Vice-Chancellor):	Member
One/Two External Expert (nominated by the Vice-Chancellor):	Member(s)

13.2. The Doctoral Committee shall meet at least twice a year.

14. Duties and Responsibilities of Doctoral Committee:

14.1. To conduct the pre-registration colloquium and to recommend for the approval of the Board of Studies.

14.2. To consider the half-yearly progress reports of registered candidates forwarded by the Supervisor and chairperson of the P. G. Department.

14.3. To conduct the pre-submission colloquium and to accord permission for the submission of the thesis.

14.4. To consider the request of the candidate for the change of title of the thesis on the recommendations of the Supervisor and to recommend to concerned Board of Studies.

14.5. To carry out such other duties as the University may entrust to the Committee from time to time in connection with the Ph.D. Programme.

15. Research Proposal/Outline:

15.1 Every selected candidate shall prepare and submit a Research Proposal/ Outline to the Chairperson of the P. G. Department, who in turn shall arrange for the meeting of Doctoral Committee and to place it before the Doctoral Committee. This is mandatory for all the selected candidates including those who are exempted from the Entrance Test.

15.2. The candidate shall prepare the Research Proposal/Outline defining clearly the objectives, methodology, literature survey, work plan and relevance of the proposed research and shall present it in the form of a colloquium before the Doctoral Committee.

15.3. The Doctoral Committee shall assess the preparedness of the candidate to take up the proposed research work and recommend to the Board of Studies for its approval. However, the Committee is empowered to suggest changes, if necessary, in the title/scope/methodology of the proposed research topic/outline in consultation with the Supervisor.

15.4. If the performance and/or preparedness of the candidate is not satisfactory, the Doctoral Committee shall give one more chance to the candidate to prepare for

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI 583 105

the colloquium again within three months from the date of the first colloquium. If the candidate fails even in the second attempt, he/she shall not be eligible for Provisional Registration.

15.5. All the applications which are cleared and recommended by the Doctoral Committee shall be sent to the chairperson of Board of Studies by the Chairperson of the P.G. Department for obtaining the approval.

15.6. The concerned Board of Studies shall scrutinize the research proposals, and accord its approval or otherwise – if the Board is not satisfied with the title of the research work, it shall return the proposal with its suggestions. When a proposal is returned by the Board with its suggestions, the candidate shall, in consultation with the Research Supervisor, attend to them and resubmit the proposal to the chairperson of the P. G. Department.

15.7. On receipt of the research proposals approved by the Board, the Chairperson of the P. G. Department shall forward them with Application - B to the University for Provisional Registration.

15.8. All the candidates who are given Provisional Registration shall take up and complete the prescribed course work.

16. Course Work:

16.1. After Provisional Registration, all the registered candidates shall take up the course work in the respective P.G Department/ College/ Institution for a maximum period of six months, and this shall be compulsory for both full time and part time candidates.

16.2. The course work for Ph.D. programme shall comprise of three papers of 100 marks each, viz., Course – I: Research Methodology, Course – II: Cognate/ core Subject/s and Course – III: Field of Specialization. This shall be followed by comprehensive viva-voce for 50 marks. However, it shall be noted here that Courses – I and II are common for all the candidates in a particular Department/Subject/Discipline.

16.3. Each Course shall have 48 contact hours. Classes for Courses – I and II shall be arranged by the chairperson of the P. G. Department/Head of the recognized research centre, and that of Course – III by the concerned Research Supervisor. Both the full-time and part-time candidates shall attend at least 75% of the classes in each paper to be eligible to appear for the examination.

16.4. The candidates who fail to attend a minimum of 75% of the classes in each of the Courses shall not be eligible to appear for the examination and to obtain the confirmation of registration for Ph.D. programme, and they have to attend the classes again with the next batch.

16.5 The following shall be the Structure of Course Work for Ph.D. Programme.

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

Sl. No	Name of the Course	Contact Hours per Week	Maximum Marks			Examination Hours
			Continuous Assessment	Course-end Examination	Total	
01	Course - I: Research Methodology	03	25	75	100	03
02	Course - II: Cognate/ Core Subject	03	25	75	100	03
03	Course - III: Field of Specialization	03	25	75	100	03
		Total	75	225	300	
Viva Voce					50	

Added and Modified as 16.5

To be added as per **University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations 2016 *Notification*, 05th May, 2016. (7.1)**

16.5 **The credit assigned to the Ph.D. course work shall be a minimum of 08 credits and a maximum of 16 credits.**

16.5.1 The course work shall be treated as prerequisite for Ph.D. preparation. A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses preparing the students for Ph.D. degree.

16.5.2 All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. They shall be duly approved by the authorized academic bodies. The following shall be the Structure of Course Work for Ph.D. Programme.

Sl. No	Name of the Course	Contact Hours per Week	No of Credits per Paper	Maximum Marks			Examination Hours
				Continuous Assessment	Course End Examination	Total	
01	Course - I: Research Methodology	04	04	30	70	100	03
02	Course - II: Cognate/ Core Subject	04	04	30	70	100	03
03	Course - III: Field of Specialization	04	04	30	70	100	03
		Total	12	90	210	300	
Viva Voce						50	

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar

16.5.3 **All candidates admitted to the Ph.D. programmes shall be required to complete the course work prescribed by the Department during the initial one or two semesters, (first year itself).**

16.5.4 Candidates already holding M. Phil. Degree with course work and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. in integrated course, may be exempted by the Department from the Ph.D. course work.

16.6. Continuous Assessment Marks of the course work shall be awarded by the course teacher based on (a) Assignments – 5 marks, (b) Review of Literature – 5 marks, (c) Seminar – 5 marks, and (d) Tests – 10 marks.

Added and Modified as 16.6

***To be* Modified *as per* University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations, 2016 *Notification*, 05th May, 2016. (6.2)**

16.6. **Continuous Assessment 30 Marks of the course work shall be awarded by the course teacher based on (a) Review of Literature 5 marks, (b) Assignments – 5 marks, (c) Seminar – 8 marks, and (d) Class Tests – 12 marks.**

16.7. The Board of Studies (PG) concerned shall prepare and approve the course inputs for each of the three courses.

17. Examination and Evaluation of Answer Scripts:

17.1. There shall be a Board of Examiners (Ph.D.) constituted by the Registrar (Evaluation), with the approval of the Vice-Chancellor, based on the panel of examiners approved by the Board of Studies.

17.2. The chairperson of the Board of Examiners shall get the requisite number of questions papers set, get them approved by BoE, send them to the Registrar (Evaluation) and arrange for the evaluation of answer scripts. The chairperson of the P.G Department shall conduct the examination for all the candidates (i.e., including those who are working for Ph.D. in other institutions) in the Department.

17.3. There shall be Course-end Examination of three-hour duration (for 70 marks per Course). Each answer script of the Course-end Examination shall be coded and assessed by two examiners (preferably, one internal Course Teacher and another external). The marks awarded to the answer script shall be the average of these two evaluations.

17.4. If the difference in the marks between two evaluations exceeds 20% of the maximum marks, such a script shall be assessed by a third external examiner. The marks awarded to that script shall be the average of two nearer marks out of the three evaluations.

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Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

18. Minimum Pass Marks and Improvement Examination:

18.1. Minimum for pass in each written paper shall be 40% in the course end examination and 50% in aggregate including the continuous assessment marks. However, there shall be no minimum for viva-voce. But every candidate shall compulsorily attend the viva-voce examination. The results shall be declared only on the basis of three courses.

Added and Modified as 18.1

***To be modified as per* University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations, 2016 *Notification*, 05th May, 2016. (7.8)**

18.1 **A Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC 7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme. However, there shall be no minimum for viva-voce. But every candidate shall compulsorily attend the viva-voce examination. The results shall be declared only on the basis of three courses.**

18.1.1. **Grades in the course work, including research methodology courses shall be finalized after double assessment (Internal and External) by respective department and the final grades shall be communicated to the Institution/College. (7.7)**

18.2. Failed candidates shall be allowed to take only one improvement examination within three months of their first examination. In case of failure of the candidate even after an improvement examination, his/her Provisional Registration shall get cancelled.

19. Confirmation of Registration:

19.1. After declaring the result, the successful candidate shall submit another application (**Application–C**) to the Chairperson of the P.G Department for forwarding to the University for issue of notification of confirmation of registration.

19.2. University shall issue the necessary notification within fifteen days from the date of receipt of the letter from the Department confirming the registration which is in the form of permission to start working on the thesis.

20. Place of Course Work and Research Work:

20.1. All the provisionally registered candidates shall complete the course work in the P. G Department of the University or in the Laboratory/ Institution/ or the Department of an affiliated/constituent college (wherein the Research Supervisor is working) recognized by the University as Research Centre. This shall apply to all types of candidates - full-time, part-time, sponsored, foreign, JRF candidates, etc.

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

20.2. Part time candidates shall work for at least 105 working days per year in the Department of the Research Supervisor.

Added and modified in the existing one as 20.2

20.2 Part time candidates shall be in contact a minimum 105 days per academic year with the Research Supervisor. A certificate in this regard shall be submitted from the Research Supervisor.
Additional clause added as 20.3

20.3 Place of research work of candidate will be wherein the Research Supervisor is working.

21. Progress Reports:

21.1. After the completion of the course work and the confirmation of the registration, every candidate shall submit half-yearly progress report regularly through the Research Supervisor to the chairperson of the P.G Department who shall place it before the subsequent meeting of the Doctoral Committee for its review. The half-yearly Progress Report should cover, among others, the aspects such as the review of literature, new data collected/obtained, techniques developed, progress in research, discussion of the work done including any findings, etc

21.2. Besides, the candidate shall make, once in a year (preferably during the meeting of Doctoral Committee in the month of January), presentation about the progress made by him/her during the last one year and also the work plan for the next one year.

21.3. If a candidate fails to submit two consecutive half-yearly progress reports in time and/or if the progress of the candidate is not satisfactory for two half-year periods, the Doctoral Committee shall recommend to the University for the cancellation of his/her registration in consultation with the concerned Research Supervisor. Before cancellation, a show-cause notice shall be issued to the candidate.

22. Validity Period of Registration:

22.1. Every full time candidate shall take a minimum of three years and maximum of five years, and every part time candidate shall take a minimum of four years and a maximum of six years from the date of Provisional Registration (i.e., from the date of commencement of course work) to submit his/her thesis.

Added and modified in the existing one as 22.1

***To be Amended as per* University Grants Commission, *New Delhi* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations, *Notification,* 2016, 05th May, 2016 (4.2 and 4.4)**

22.1 **Every Full-Time/Part-Time candidate shall take a minimum of three years and maximum of Six years from the date of Provisional Registration (from the date of commencement of course work) to submit his/her thesis.**

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

22.1.1 However, in the case of the women candidates and Persons with Disability (more than 40% disability against production of certificate) may be allowed a relaxation of two years for Ph.D. in the maximum duration.

22.1.2 In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days against request/production of certificate.

22.2. In exceptional cases, extension beyond the above maximum period may be granted by the University on the recommendation of the Doctoral Committee and the Research Supervisor for justifiable reasons for a period of one more year after the candidate applies before the expiry of the period and pays the prescribed fee.

22.3. Under extraordinary circumstances by which a candidate is affected and such circumstances warranting a further extension of period for submission of thesis, the candidate shall make an appeal before the expiry of the period (after paying the extra fee as prescribed by the University) to the chairperson of the P.G Department through the Research Supervisor, and the chairperson of the P.G Department shall refer the same to the Doctoral Committee for its opinion. The opinion of the committee shall be submitted to the Vice-chancellor by the chairperson of the P.G Department for consideration and the decision shall be final and limited to only one year. Candidates who fail to submit the thesis even after the extended period shall repeat the programme by registering again.

22.4. A part time candidate may be permitted to work on full time basis on valid grounds. The period of such registrations shall be three years from the date of changeover or four years from the date of registration, whichever is earlier. A full time candidate may also be permitted to work on part time basis, but the period of such registration shall be four years from the date of original registration.

Added and modified in the existing one as 22.4

22.4 A full/part time candidate may be permitted to work on part/full time basis on valid grounds.

22.5. A candidate may be permitted to change the title of his/her thesis up to three years from the date of registration.

23. Submission of Thesis:

23.1. Subject to the above minimum and maximum period for submission of thesis, every candidate, six months prior to the probable date of submission of thesis, shall submit an application along with 20 copies of synopsis of the thesis through the Research Supervisor to the Chairperson of P.G Department for permission to submit the thesis. The Chairperson shall arrange to place the candidate's application and synopsis before the meeting of the Doctoral Committee provided that the Researcher has published two research papers in the journals of repute (including the papers accepted for publication) and/or obtained one patent based on his/her research work. He/she is required to produce reprint/galley proof/proof

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

of acceptance of research paper/patent to the Doctoral Committee during his/her pre-submission colloquium.

Added and Modified as 23.1

To be added as per **University Grants Commission, *New Delhi Notification* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations, 2016, 05th May, 2016. (9.4).**

23.1 **Subject to the above minimum and maximum period for submission of thesis, every candidate, six months prior to the probable date of submission of thesis, shall submit an application along with 20 copies of synopsis of the thesis through the Research Supervisor to the Chairperson of P.G Department for permission to submit the thesis. The Chairperson shall arrange to place the candidate's application and synopsis before the meeting of the Doctoral Committee provided that the Researcher has published at least two (02) research papers in the UGC Care list journal/refereed journal/journals of repute (including the papers accepted for publication) and make two paper presentations in conferences/seminars before the submission of the thesis for adjudication. He/she is required to produce reprint/galley proof/proof of acceptance of research paper to the Doctoral Committee during his/her pre-submission colloquium.**

23.2. Further, the candidate who has submitted the application for permission to submit thesis shall present a pre-submission colloquium before the Doctoral Committee demonstrating his/her preparedness to submit the thesis.

23.3. Considering the work of the candidate, his/her performance at the pre-submission colloquium and also the publications besides other technical aspects, the Doctoral Committee shall permit the candidate to submit the thesis.

23.4. If the Committee is not satisfied with the work of the candidate, it may, in consultation with the Research Supervisor, recommend for improvements. In this case, the candidate shall appear for pre-submission colloquium again before the Doctoral Committee within three months.

23.5. Every candidate shall (not later than six months after obtaining permission from the Doctoral Committee for submission of thesis) submit four copies of the thesis to the Registrar (Evaluation) through the supervisor and the chairperson of the P.G Department concerned. The candidate shall also pay the prescribed submission fee, and produce "No Due Certificates" from the Chairperson of the P.G Department/ Head of the Institution concerned, University Librarian and Hostel Warden.

Added and Modified as 23.5

To be added as per **University Grants Commission, *New Delhi Notification* (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) *Ph.D.* Regulations, 2016, 05th May, 2016.**

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

***To be added as per* University Grants Commission, New Delhi (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018, 23rd July, 2018**

23.5 Every candidate shall (not later than six months after obtaining permission from the Doctoral Committee for submission of thesis) submit four copies of the thesis to the Registrar (Evaluation) through the supervisor and the chairperson of the P.G Department concerned. The candidate shall also pay the prescribed submission fee, and produce "No Due Certificates" from the Chairperson of the P.G Department/ Head of the Institution concerned, University Librarian and Hostel Warden.

While submitting for evaluation along with the dissertation thesis the candidate shall submit plagiarism report generated by the software and duly attested by the Research Scholar and Research Supervisor asserting to the originality of the work, vouching that the plagiarism is as per the regulations mentioned in 23.5.1. Further, the Registrar (Evaluation) shall get the soft copy of thesis for plagiarism test to confirm that the plagiarism levels is as per 23.5.1 and do the further processing. Otherwise, the Registrar (Evaluation) shall reject the thesis and ask the Research Scholar to resubmit the thesis through proper channel.

23.5.1 The university shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. In case of detection of plagiarism in the thesis, the level of penalty is as below:

i. **Level 0: Similarities up to 10% - Minor Similarities, no penalty.**

ii. **Level 1: Similarities above 10% to 40% - Such student shall be asked to submit a revised script of thesis within a stipulated time period not exceeding 6 months.**

iii. **Level 2: Similarities above 40% to 60% - Such student shall be debarred from submitting a revised thesis for a period of one year within period upper limit of Ph.D. Programme.**

iv. **Level 3: Similarities above 60%-Such student registration for that programme shall be cancelled.**

23.6. The candidate shall, after the viva-voce examination, incorporate the suggestions made by the adjudicators and also the summary of viva-voce examination into his/her thesis, and submit two more copies and a soft copy to the Registrar (Evaluation) for sending them to the University Library (both the hard and soft copies of the thesis) and to the Government authorities (only hard copy).

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

24. Adjudication of Ph.D. Thesis:

24.1. After the approval of the final synopsis by the Doctoral Committee, the chairperson of the P.G Department shall write to the Chairperson of Board of Studies for the Panel of Adjudicators approved by BOS. The Chairperson of BOS shall send the approved panel to the Registrar (Evaluation) by name.

24.2. For the adjudication of Ph.D. thesis, there shall be a Board of Adjudicators consisting of the Research Supervisor as the chairperson and two external members to be chosen by the Vice-chancellor from a panel of ten examiners prepared and approved by the Board of Studies.

24.3. The panel of adjudicators prepared and approved by the Board of Studies shall include only experts in the concerned field and not below the cadre of Readers/Associate Professors, or senior scientists/academicians who possess Ph.D. in the concerned discipline/subject and have evidence of research experience in the field of research. Further, out of ten experts, five shall be from within Karnataka and five shall be preferably from universities/institutions outside Karnataka and/or foreign universities/ institutions (if the Research Supervisor prefers foreign adjudicator, he/ she shall intimate the same to the Chairperson of P.G Department who in turn shall pass it on to the chairperson of BOS).

24.4. Each adjudicator appointed to adjudicate the thesis shall send the report to the Registrar (Evaluation), marking a copy to the chairperson of Board of Adjudicators, within two months from the date of receipt of the thesis.

24.5. Each adjudicator's report on the thesis shall include the following,

- A critical account of the work of the candidate as embodied in the thesis and an evaluation of the work in terms of its contribution to the advancement of knowledge.
- Details of any question/s to be asked to the candidate or points to be clarified by the candidate at the open viva-voce examination.
- A definite recommendation as to whether the Ph.D. Degree should be awarded or not.
- The adjudicators shall also be required to answer specifically the following.
  - Whether the Ph.D. Degree can be awarded on the basis of the thesis as presented?
  - If the answer is **no**, whether the thesis can be resubmitted after revision/corrections? or a definite recommendation against the award of the Degree.
  - Whether the thesis is fit for publication with or without revision?

24.6. If all the reports are positive/favourable, the Registrar (Evaluation) shall take necessary steps and inform the chairperson of the Board of Adjudicators to (a) prepare and send the consolidated report on the thesis, and (b) arrange for the

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

open viva-voce examination inviting one of the external adjudicators approved by the Vice-chancellor.

24.7. If one of the examiners makes a qualified recommendation with valid reasons and recommends for re-submission of the thesis after suitable modifications, the Registrar (Evaluation) shall communicate to the concerned candidate, through the Research Supervisor, for re-submitting the thesis after suitable modifications within six months from the date of communication. In this case, the modified thesis shall be sent to the same adjudicator who recommended for re-submission.

24.8 No candidate shall, however, be permitted to resubmit the thesis more than once.

24.9 If one of the adjudicators makes a definite recommendation against the award of the Degree, the thesis shall be referred to another external adjudicator. If the report of such adjudicator is favourable, then the candidate shall be eligible to appear for the open viva-voce examination. Otherwise (i.e., even if the third external adjudicator recommends against the award of Degree), the thesis shall be rejected. However, the reports of the adjudicators shall be made available to the candidate.

24.10 If both the external adjudicators make definite recommendations against the award of Ph.D. Degree, the thesis shall be rejected.

25. Viva-Voce Examination:

25.1. On the receipt of the favourable/satisfactory reports from all the three adjudicators, an open viva-voce examination shall be conducted on any working day by a Board constituted by the Registrar (Evaluation) based on the approval of the Vice-Chancellor. The Board shall comprise the following.

- Research Supervisor : Chairperson
- One external Adjudicator (nominated by Vice-chancellor): Member
- Co-Research Supervisor, if any: Member
- Chairman of the BOS: Member
- Chairman of the P. G Department of the University: Member

25.1.1 In case where the Research Supervisor cannot attend the viva-voce examination, then the co-supervisor or external adjudicator shall be made the chairperson. However, a written consent from the Research Supervisor and prior approval from the Vice-chancellor shall be mandatory. When an external adjudicator is appointed as the chairperson, then one more external adjudicator who adjudicated thesis shall be invited as the member if he/she is from India. Otherwise, another expert from out of the panel approved by BOS shall be invited as the member.

25.1.2 Under extraordinary circumstances where either of external adjudicators cannot be present for the viva-voce examination, the Vice-chancellor shall be competent to appoint another external substitute expert from out of the panel approved by the Board of Studies.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

25.2. Besides the above, the chairperson of the Viva-voce Board shall invite the chairperson and members of Doctoral Committee, and send a circular to all the P. G. Departments (including his/her own Department) inviting interested Faculty Members, Research Scholars and Students to attend the viva-voce examination.

25.3. The Viva-voce examination shall primarily be designed to test the understanding of the candidate on the subject matter of the thesis including the methodology employed and the competence in the field of research. Any of the points raised by the adjudicators shall be clarified during the viva-voce examination, and the report of the examiners shall be made available to the Board for Viva-voce examination.

25.4. In the case of candidates who are abroad and unable to be present physically, viva-voce examination may be held through video-conference mode as described above (with open viva) after the candidate pays the additional fees prescribed by the University from time to time.

25.5. The Report of the Open Viva-voce examination shall be signed by only the Research Supervisor and the External Examiner.

25.6 If the performance of the candidate in viva-voce examination is not satisfactory, he/she may be allowed one more chance to reappear for the vive-voce within three months.

26. Award of Ph.D. Degree:

26.1. After the successful completion of the open viva-voce examination by the candidate, the chairperson, Viva-voce Board, shall prepare and send the minutes of the viva-voce meeting (minutes shall be signed by the chairperson and external member) based on the candidate's performance in the viva-voce examination recommending to the University, on behalf of the Board, to award the Degree of Doctor of Philosophy to the candidate.

26.2. Along with the Degree, the degree awarding University shall issue a provisional certificate certifying to the effect that the Degree has been awarded in accordance with the provisions of UGC Regulations, 2009, 2016, 2018 and thereafter.

27. Depository with UGC:

Following the successful completion of the evaluation process and announcement of the award of Ph.D., the University shall submit a soft copy of the Ph.D. thesis to the UGC within a period of 30 days, for hosting the same in INFLIBNET, accessible to all Institutions/ Universities.

28. Publication of Thesis:

28.1. After the award of Ph.D. Degree, the entire thesis or any part of the thesis may be published by the candidate with the written permission of the University, giving due credit to the Research Supervisor. Two copies of the published works shall be submitted to the University Library.

REGISTRAR
Vijayanagara Sri Krishnadevaraya University,
Jnana Sagar Campus, Vinayakanagar,
Cantonment, BELLARY-583 104.

VICE-CHANCELLOR,
Vijayanagara Sri Krishnadevaraya University
Jnana Sagar Campus, Vinayakanagar
Cantonment, BALLARI-583 105

28.2. After the award of the Ph.D. Degree, the thesis or any publication derived from the thesis work shall be the property of the University. The University shall rightfully share with the candidate and Supervisor for any copyright, patent or recognition to the thesis.

28.3. If a thesis is proved to be a copy/ plagarization/ academic misrepresentation, the University has powers to rescind the degree.

29. Prohibition for doing Ph.D.:

The statutory officers of the University are prohibited from registering for Ph.D. degree in the same University during their tenure.

30. Repeal and Savings:

30.1 Notwithstanding anything contained in these Regulations, the provisions of any Guidelines, Order, Rule or Regulations in force shall be inapplicable to the extent of their inconsistency with these regulations.

30.2 The University shall be competent to issue such orders, instructions, etc., and prescribe such format, procedure, etc., as it may deem fit to implement the Provisions of these Regulations.

30.3 If any difficulty arises in the implementation of these Regulations, the Vice-Chancellor shall in consultation with the Deans, be competent to issue necessary clarifications and at the earliest possible opportunity thereafter report the action taken by him to the Academic Council for ratification.

REGISTRAR
VSK University, Ballari

VICE-CHANCELLOR
VSK University, Ballari