

**JAYANAGARA SRI KRISHNADEVARAYA UNIVERSITY,  
BELLARY**

**REGULATIONS GOVERNING THE DEGREE OF DOCTOR OF PHILOSOPHY OF  
JAYANAGARA SRI KRISHNADEVARAYA UNIVERSITY, BELLARY FRAMED UNDER  
SECTION 44 WITH SECTION 31(2) (II) OF THE KARNATAKA STATE UNIVERSITIES  
ACT 2000**

**2011**

**REGULATIONS GOVERNING THE DEGREE OF DOCTOR OF PHILOSOPHY OF VIJAYANAGARA SRI KRISHNADEVARAYA UNIVERSITY, BELLARY FRAMED UNDER SECTION 44 WITH SECTION 31(2) (II) OF THE KARNATAKA STATE UNIVERSITIES ACT 2000**

**01. Title and Commencement**


- 1.1. These Regulations shall be called, Regulations Governing the Degree of Doctor of Philosophy (Ph.D) of Vijayanagara Sri Krishnadevaraya University, 2011.
- 1.2. These Regulations shall come into force from the date on which it is assented to by the Chancellor.

**02. Definitions:** In these Regulations, unless the context otherwise requires or it is specifically so defined,

- 2.1. **University** means Vijayanagara Sri Krishnadevaraya University, Bellary
- 2.2. **Degree** means the Degree of Doctor of Philosophy (abbreviated as Ph.D).
- 2.3. **Fee** means the fee prescribed by the University for the Ph.D programme.
- 2.4. **Entrance Test** means the test taken by the applicants who seek to qualify for registration for Ph.D programme.
- 2.5. **Pre-registration Colloquium** means the presentation of the Ph.D proposal/outline prepared by a candidate before the Doctoral Committee to prove his/her preparedness to take up the research work.
- 2.6. **Course Work** means the courses prescribed as a part of Ph.D programme and which all the candidates shall successfully complete as a pre-requisite to start the preparation of Ph.D thesis.
- 2.7. **Research Supervisor/Guide** means a qualified teacher who is recognized by the University as Research Supervisor/Guide to guide the candidates for their Ph.D programme.
- 2.8. **Co-supervisor/Co-guide** means the recognized Research Supervisor who guides and supervises an inter-disciplinary Doctoral Thesis of a candidate as the second supervisor along with the Research Supervisor.
- 2.9. **Department Council** means the Council comprising the teachers of the University Department who possess Ph.D degree.

  
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Vijayanagar Sri Krishnadevaraya University  
Campus, Vinayakanagar,



2.10. **Doctoral Committee** means the Committee constituted by the University to assess and recommend the applications to the Board of Studies on the basis of suitability of the Ph.D applicant/research proposal for registration, and also to review the progress of the candidates, and to permit the candidate to submit the thesis after reviewing the work of the candidate in the pre-submission colloquium.

2.11. **Board of Studies** means the Board of Studies (Post-Graduate) of the University in the discipline/subject concerned.

2.12. **Research Proposal/Outline** means a concise write-up in the form of research proposal on the proposed research work which shall be submitted by candidate along with the application (Application - B) for provision registration for Ph.D programme.

2.13. **Synopsis** means the final synopsis of the completed research work which shall be submitted by a candidate.

2.14. **Sponsored Candidate** means permanent employees of educational and research institutions and organizations of public/private sector deputed to pursue Ph.D, including the permanent teachers on FIP/QIP.

2.15. **Foreign Students** means foreign citizens who have completed their master's degree or equivalent recognized by UGC as equivalent to P. G. Degree from a recognized foreign university/institution.

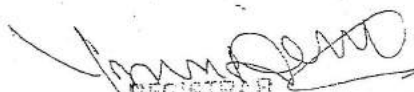
2.16. **Academic Council** means Academic Council constituted under Section 10 of the KSU Act, 2000.


2.17. **Refereed/Reputed Journals** means a professional or literary journal or publication in which articles or papers are selected for publication by a panel of experts in the relevant field.

### 03. Subject/Title of Research and Nature of Research Programme

3.1. The subject of research shall normally relate to the main branch of knowledge opted for by the applicant at the level of his/her Post-Graduate studies.

3.2. **Inter-disciplinary Research:** However, a candidate who is willing to pursue research in a subject other than the one chosen for Post-Graduate studies and/or in a subject which is of an inter-disciplinary nature shall also be eligible for registration subject to the following conditions.

  
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3.2.1. The candidate shall be eligible for registration provided the research topic and the proposal are recommended by the Doctoral Committee and approved by the concerned Board of Studies of the subject/discipline wherein he/she wants to work for Doctoral Degree. In this case, the Degree shall be awarded in the subject/discipline in which he/she has applied/registered for Ph.D work. Further, if the Research Supervisor requires, a co-supervisor from another discipline (i.e., the subject chosen by the candidate at his/her Master's Degree) may be taken.

3.2.2. If the candidate wants to pursue the Ph.D Degree in the subject/discipline other than the one which he/she has chosen for P.G Degree, then a special Board comprising of the chairpersons of both the Boards of Studies and Departments shall examine the proposal and decide the Department to which the application shall be sent for further processing. Once, the special Board decides and sends the application to a Department, then all the works shall be carried out in that Department under the supervision of a Research Supervisor of that Department. Further, the Degree shall be awarded in the subject/discipline of the Department to which the application is sent.

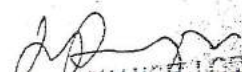
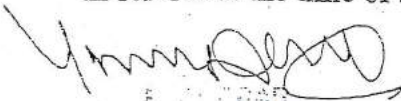
3.3. There shall be two types of Research Candidates – one, full time and another, part time. Part-time Ph.D programme is normally for the benefit of working persons such as teachers employed in P.G Departments of the University, affiliated/constituent colleges of the University or any other Indian University/Research Institution/Organization, and also those working as Librarians/Physical Education Personnel. There shall however be no bar on others to take up the Doctoral work on part time basis.

3.4. The employees who takes up Ph.D programme on full time basis shall submit a certificate from their employer/organizations for having been granted study leave. Other candidates who take up Ph.D programme on full time basis shall give an undertaking that they will not take up any job during the period of research.

#### 04. Eligibility to apply for Admission to Ph.D Programme

4.1. Any candidate who has obtained a Post-Graduate Degree from a recognized University including foreign students (sponsored by their Embassies) and sponsored candidates securing not less than 55% marks at the Post-Graduate Degree shall be eligible to apply for the PhD Degree Programme.

4.2. In the case of SC/ST/Cat-I/Physically challenged candidates, and those who are appointed on regular basis prior to March 31, 1992 as teachers, librarians and physical education personnel in colleges and University Departments, the minimum marks shall be 50% provided they (i.e., employees) are continuing in service at the time of submission of application for registration.





- 4.3. If the results of Master's Degree are declared in the form of grades/credits/cumulative points in their marks cards/ transcripts, such grades/points shall be converted into percentage to assess the minimum eligibility criteria as specified in Regulations 4.1 and 4.2.

#### 05. Intake

- 5.1. Each Research Supervisor/Guide shall guide not more than eight candidates at a time including guiding the candidates who have registered for Ph.D degree in other universities (acceptance of guideship of other universities shall be with the permission of the University), out of which one seat each shall be reserved for (a) SC (b) ST / Category-I and (c) OBC candidates.

- 5.2. The seats reserved for SC and ST candidates shall be interchangeable (i.e., SC vacancy shall be filled with ST candidate and vice-versa if there is no eligible candidate from SC or ST). However, they shall not be transferred to General Pool.

- 5.3. Department shall be taken as one unit for the purpose of reservation and each Supervisor shall be allotted one SC/ST/OBC candidates.

06. **Notification of Vacant Seats:** The University shall issue a notification inviting applications for Ph.D programme from the eligible candidates mentioning the number of seats available in each subject. The notification shall be issued as press note in leading newspapers and University Website once in a year preferably in the month of August.

07. **Submission of Applications and their Processing:** Applications by the eligible and interested candidates shall be submitted as follows.

- 7.1. Submission of application to the chairperson of the concerned P.G Department (this application shall be coded as Application - A).

- 7.2. The Chairperson of the P.G Department, with the help of the Department Council, shall arrange for (a) scrutiny of applications to determine the eligibility including those applicants who are eligible for exemption from Entrance Test, (b) conducting the Entrance Test and evaluation - get the question papers set from the Department Council, conduct the test, get the answer scripts valued by the Department Council, (c) prepare the consolidated list of applicants, and (d) arrange for pre-registration colloquium.

- 7.3. Subject to completion of the Entrance Test and pre-registration colloquium, the candidates (including those who are exempted from Entrance Test) shall submit another application (Application - B) along with the Research Proposal/Outline to the chairperson of the concerned P. G Department for Provisional Registration for Ph.D programme after paying the requisite fee to the University.



7.4. The Chairperson of the Department shall send the applications along with the recommendation of the Doctoral Committee to the chairperson of the Board of Studies for its approval. Chairperson of the Board shall return them after approval or otherwise to the chairperson of the P. G Department who shall forward them to the University for Provisional Registration.

7.5. After the completion of the course work, the successful candidates shall submit the final application (Application - C) to the chairperson of the P.G Department who shall forward them to the University for confirmation of Registration for Ph.D programme.

#### 08. Entrance Test

8.1. All the applicants who possess atleast the minimum percentage of marks at their P.G Degree as specified above in Regulations 4.1 to 4.3 shall appear for the Entrance Test.

8.2. However, (a) foreign and sponsored candidates, (b) teachers on FIP/QIP, (c) candidates who have cleared the UGC/UGC-CSIR JRF Test/ NET/ GATE/ GRE shall be eligible for exemption from the Entrance Test.

8.3. The syllabus for the Entrance Test and details incidental to the Entrance Test shall be finalized by the Departmental Council.

8.4. The following pattern shall be followed by all the departments for conducting Entrance Test.

8.4.1. **Maximum marks for Entrance Test:** 100.

8.4.2. **Nature of questions:** Multiple answer questions.

8.4.3. **Syllabus for Entrance Test:** Research Methodology and cognate/core subjects of the P. G Department conducting the Entrance Test,

8.4.4. **Number of Questions:** 100 questions, each carrying 1 mark, 40% of the questions shall be from Research Methodology and the remaining 60% from the cognate/core subjects. The Department Council shall prepare the course inputs for the Entrance Test.

8.4.5. **Duration of Entrance Test:** 120 minutes.

#### 09. Preparation of Merit List of Successful Candidates

9.1. After the Entrance Test, Consolidated Merit List of all the candidates (including those who are exempted from Entrance Test) shall be prepared as per Merit cum Reservation Policy on the basis of the marks obtained in the entrance test, interview and marks obtained in the qualifying examination in the ratio of 50:50. However, the candidate has to secure overall 50% marks (45% for SC/ST/Cat.I) to qualify for



- 9.2. The candidates who have cleared the UGC/UGC-CSIR National Eligibility Test for Junior Research Fellowship/GATE/GRE and the teachers on FIP/QIP shall be placed first in the Consolidated Merit List based on the percentage of marks secured by them at their qualifying examination and interview in the Ratio of 50:50.
- 9.3. Names that appear in the Consolidated Merit List shall be considered for the present vacancies only.

10. Procedures for Recognition of Research Supervisor/Guide

- 10.1. All Professors, Readers/Associate Professors in P.G Departments of the University, University Librarian and Deputy Librarians, Director and Deputy Directors of Physical Education of the University with Ph.D Degree who are working on regular basis shall be eligible to supervise Doctoral candidates.
- 10.2. Assistant Professors/Lecturers in the Post-Graduate departments of the University who have doctoral degree in the relevant subject with at least three years of independent research experience after the Ph.D degree as evidenced by publications in refereed/reputed journals shall be eligible to act as Research Supervisors/Guides in their subjects provided they have atleast three years of teaching experience on regular basis and a minimum of five publications in reputed journals. Further, Assistant Librarians and Assistant Directors of Physical Education of the University with Ph.D shall also be eligible, provided they have permanent position and teaching or research experience of three years after obtaining PhD degree and a minimum of five publications in reputed journals.
- 10.3. Teachers, Librarians and Directors of Physical Education with Ph.D Degree working in the affiliated/constituent colleges of the University which are recognized by the University as Research Centres and having permanent position and teaching or research experience of three years after obtaining Ph.D shall also be eligible for recognition as Research Supervisors provided they have at least five publications in refereed/ reputed journals.
- 10.4. Scientists/Professionals working in recognized research institutions recognized as Research Centers by the University, who have doctoral degree in the concerned/related discipline and having at least three years independent research experience after obtaining Ph.D degree and a minimum of five publications in reputed journals shall also be eligible to act as Research Supervisors. However, no University shall recognize a college/institution affiliated to another University as its Research Centre.
- 10.5. In all the above cases (Regulations 10.1 to 10.4), the Board of Studies shall satisfy itself that the person concerned has a sustained record of independent Post-Doctoral Research and Publications.

shall not opt to become co-supervisor for more



- 10.7. In the cases of interdisciplinary subjects, a candidate may have two supervisors of which one is the Research Supervisor and another is Co-supervisor. However, either the Research Supervisor or Co-supervisor shall be from the P.G Department of the University.

## 11. Allotment of Research Supervisor/Guide

- 11.1. After the finalization of Consolidated Merit List but before the Pre-registration Colloquium, the chairperson of the P. G Department shall convene a meeting of the Department Council to allot the candidates to the Research Supervisors based on the merit list, specialization stream of the candidate and the Research Supervisor, and the willingness of both the Research Supervisor and the Candidate.
- 11.2. After the allotment of candidate to the supervisor, the candidate shall finalize the research topic/title and proposal in consultation with the Research Supervisor for presentation before the Doctoral Committee.

## 12. Change of Research Supervisor/Guide

- 12.1. Generally, change of Research Supervisor/Guide shall not be entertained and permitted.
- 12.2. Under extraordinary circumstances such as death or disability on health ground of the supervisor or transfer of the Supervisor, the change of Research Supervisor shall be permitted by the University at the request of the Candidate and on the recommendation of the chairperson of the P.G Department.
- 12.3. In case of any conflict between the Research Supervisor and the Candidate, the Chairperson of the P. G Department, on the receipt of request letter either from the Candidate or from the Research Supervisor or both, shall refer the matter to the Doctoral Committee. The Doctoral Committee shall examine the case and send its report/recommendation to the Chairperson of the P. G Department who in turn shall forward it to the Vice Chancellor. The decision of the Vice Chancellor in this regard shall be final.

## 13. Doctoral Committee

- 13.1. There shall be a subject-wise Doctoral Committee constituted by the University for the purpose of smooth conduct of Ph.D programme. The Committee shall comprise,

- Chairman of P. G Board of Studies: Chairman
- Chairman of Department Council: Member
- Concerned Research Supervisor: Member
- One Internal Expert (nominated by the Vice-Chancellor): Member
- One External Expert (nominated by the Vice-Chancellor): Member



14. **Duties and Responsibilities of Doctoral Committee**

- 14.1. To conduct the pre-registration colloquium and recommend the same for approval by the Board of Studies.
- 14.2. To consider the half-yearly progress reports of registered candidates forwarded by the Supervisor and chairperson of the P. G Department.
- 14.3. To conduct the pre-submission colloquium and to accord permission for the submission of the thesis.
- 14.4. To consider the request of the candidate for the change of title of the thesis on the recommendations of the Supervisor and to recommend to concerned Board of Studies.
- 14.5. To carry out such other incidental duties as the University may entrust to the Committee from time to time in connection with the PhD Programme.

15. **Research Proposal/Outline**

- 15.1. Every selected candidate shall prepare and submit a Research Proposal/Outline to the Chairperson of the P. G Department, who in turn shall arrange for the meeting of Doctoral Committee and to place it before the Doctoral Committee. This is mandatory for all the selected candidates including those who have been exempted from the Entrance Test.
- 15.2. The candidate shall prepare the Research Proposal/Outline defining clearly the objectives, methodology, literature survey, work plan and relevance of the proposed research and shall present it in the form of a colloquium before the Doctoral Committee.
- 15.3. The Doctoral Committee shall assess the capability of the candidate to take up the proposed research work and recommend to the Board of Studies for its approval. However, the Committee is empowered to suggest changes, if necessary, in the title/scope/methodology of the proposed research topic/outline in consultation with the Supervisor.
- 15.4. If the performance and/or capability of the candidate is not satisfactory, the Doctoral Committee shall give one more chance to the candidate to prepare for the colloquium again within three months from the date of the first colloquium. If the candidate fails even in the second attempt, he/she shall cease to be eligible for Provisional Registration.
- 15.5. All the applications which are cleared and recommended by the Doctoral Committee shall be sent to the chairperson of Board of Studies by the Chairperson of the P.G Department for securing the approval.

concerned shall scrutinize the research proposals, and if satisfied with the title

the research work, it shall return the proposal with its suggestions. When a proposal is returned by the Board with its suggestions, the candidate shall, in consultation with the Research Supervisor, attend to them and resubmit the proposal to the chairperson of the P. G Department.

- 15.7. On receipt of the research proposals approved by the Board, the Chairperson of the P. G Department shall forward them with Application - B to the University for Provisional Registration.
- 15.8. All the candidates who have been given Provisional Registration shall take up and complete the prescribed course work.

## 16. Course Work

- 16.1. After Provisional Registration, all the registered candidates shall take up the course work in the respective P.G Department/ College/ Institution for a maximum duration of six months, and this shall be compulsory for both full time and part time candidates.
- 16.2. The course work for Ph.D programme shall comprise of three papers of 100 marks each, viz., Course - I: Research Methodology, Course - II: Cognate/ core Subject/s and Course - III: Field of Specialization. This shall be followed by comprehensive viva-voce for 50 marks. However, Courses - I and II shall be common for all the candidates in a particular Department/Subject/Discipline.
- 16.3. Each Course shall have 48 contact hours. Classes for Courses - I and II shall be arranged by the chairperson of the P. G Department/Head of the recognized research centre, and that of Course - III by the concerned Research Supervisor. Both the full-time and part-time candidates shall attend atleast 75% of the classes in each paper to be eligible to appear for the examination.
- 16.4. The candidates who fail to attend a minimum of 75% of the classes in each of the Courses shall not be eligible to appear for the examination and to obtain the confirmation of registration for Ph.D programme, and they have to attend the classes again with the next batch.

  
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VICE CHANCELLOR

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16.5 The Structure of Course Work for Ph.D Programme shall be as follows:

Sl. No	Name of the Course	Contact Hours per Week	Maximum Marks			Examination Hours
			Continuous Assessment	Course-end Examination	Total	
01	Course - I: Research Methodology	03	25	75	100	03
02	Course - II: Cognate/ Core Subject	03	25	75	100	03
03	Course - III: Field of Specialization	03	25	75	100	03
Total			75	225	300	
Viva Voce					50	

16.6. Continuous Assessment Marks of the course work shall be awarded by the course teacher based on (a) Assignments – 5 marks, (b) Review of Literature – 5 marks, (c) Seminar – 5 marks, and (d) Tests – 10 marks.

16.7. The Board of Studies (PG) concerned shall prepare and approve the course inputs for each of the three courses.

#### 17. Examination and Evaluation of Answer Scripts

17.1. There shall be a Board of Examiners (Ph.D) constituted by the Registrar (Evaluation), with the approval of the Vice Chancellor, based on the panel of examiners approved by the Board of Studies.

17.2. The chairperson of the Board of Examiners shall get the requisite number of questions papers set, get them approved by BoE, send them to the Registrar (Evaluation) and arrange for the evaluation of answer scripts. The chairperson of the P.G Department shall conduct the examination for all the candidates (i.e., including those who are working for Ph.D in other institutions) in the Department.

17.3. There shall be Course-end Examination of three-hour duration (for 75 marks per Course). Each answer script of the Course-end Examination shall be coded and assessed by two examiners (preferably, one internal Course Teacher and another external). The marks awarded to the answer script shall be the average of these two evaluations.

17.4. If the difference in the marks between two evaluations exceeds 20% of the maximum marks, such a script shall be re-assessed by a third external examiner. The marks awarded to that script shall be the average of two nearer

18. **Minimum Pass Marks and Improvement Examination:**

- 18.1. Minimum for pass in each written paper shall be 40% in the course end examination and 50% in aggregate including the continuous assessment marks. However, there shall be no minimum for viva-voce. But every candidate shall compulsorily attend the viva-voce examination. The results shall be declared only on the basis of three courses.
- 18.2. Failed candidates shall be allowed to take only one improvement examination within three months of their first examination. In case of failure of the candidate even after an improvement examination, his/her Provisional Registration shall get cancelled.

19. **Confirmation of Registration**

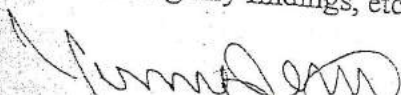
- 19.1. After declaring the result, the successful candidate shall submit another application (Application- C) to the Chairperson of the P.G Department for forwarding to the University for issue of notification of confirmation of registration.
- 19.2. University shall issue the necessary notification within fifteen days from the date of receipt of the letter from the Department confirming the registration which is in the form of permission to start working on the thesis.

20. **Place Of Course Work and Research Work:**

- 20.1. All the provisionally registered candidates shall complete the course work in the P. G Department of the University or in the Laboratory/ Institution or the Department of an affiliated/constituent college (wherein the Research Supervisor is working) recognized by the University as Research Centre. This shall apply to all types of candidates - full-time, part-time, sponsored, foreign, JRF candidates, etc.
- 20.2. Part time candidates shall work for at least 105 working days per year in the Department of the Research Supervisor.

21. **Progress Reports**

- 21.1. After the completion of the course work and the confirmation of the registration, every candidate shall submit half-yearly progress report regularly through the Research Supervisor to the chairperson of the P.G Department who shall place it before the subsequent meeting of the Doctoral Committee for its review. The half-yearly Progress Report should cover, among others, the aspects such as the review of literature, new data collected/obtained, techniques developed, progress in research, discussion of the work done including any findings, etc.





21.2. Besides, the candidate shall make, once in a year (preferably during the meeting of Doctoral Committee in the month of January), presentation about the progress made by him/her during the last one year and also the work plan for the next one year.

21.3. In the event of failure of a candidate to submit two consecutive half-yearly progress reports in time and/or if the progress of the candidate is not satisfactory for two half-year periods, the Doctoral Committee shall recommend to the University for the cancellation of his/her registration in consultation with the concerned Research Supervisor. Before cancellation, a show-cause notice shall be issued to the candidate.

## 22. Validity Period of Registration

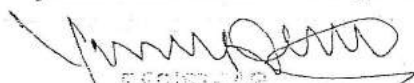
22.1. Every full time candidate shall take a minimum of three years and maximum of five years, and every part time candidate shall take a minimum of four years and a maximum of six years from the date of Provisional Registration (i.e., from the date of commencement of course work) to submit his/her thesis.

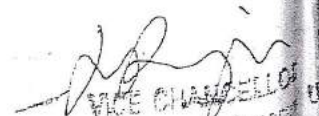
22.2. In exceptional cases, extension beyond the above maximum period may be granted by the University on the recommendation of the Doctoral Committee and the Research Supervisor for justifiable reasons for a period of one more year after the candidate applies before the expiry of the period and pays the prescribed fee.

22.3. Under extraordinary circumstances by which a candidate is affected and such circumstances warranting a further extension of period for submission of thesis, the candidate shall make an appeal before the expiry of the period (after paying the extra fee as prescribed by the University) to the chairperson of the P.G Department through the Research Supervisor, and the chairperson of the P.G Department shall refer the same to the Doctoral Committee for its opinion. The opinion of the committee shall be submitted to the Vice-chancellor by the chairperson of the P.G Department for consideration and the decision shall be final and limited to only one year. Candidates who fail to submit the thesis even after the extended period shall repeat the programme by registering again.

22.4. A part time candidate may be permitted to work on full time basis on valid grounds. The period of such registrations shall be three years from the date of changeover or four years from the date of registration, whichever is earlier. A full time candidate may also be permitted to work on part time basis, but the period of such registration shall be four years from the date of original registration.

22.5. A candidate may be permitted to change the title of his/her thesis up to three years from the date of registration.

  
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## Submission of Thesis

- 23.1. Subject to the above minimum and maximum period for submission of thesis, every candidate, six months prior to the probable date of submission of thesis, shall submit an application along with 20 copies of synopsis of the thesis through the Research Supervisor to the Chairperson of P.G Department for permission to submit the thesis. The Chairperson shall arrange to place the candidate's application and synopsis before the meeting of the Doctoral Committee provided that the Researcher has published two research papers in the journals of repute (including the papers accepted for publication) and/or obtained one patent based on his/her research work. He/she is required to produce reprint/galley proof/proof of acceptance of research paper/patent to the Doctoral Committee during his/her pre-submission colloquium.
- 23.2. Further, the candidate who has submitted the application for permission to submit thesis shall present a pre-submission colloquium before the Doctoral Committee demonstrating his/her preparedness to submit the thesis.
- 23.3. After examining the work of the candidate, his/her performance at the pre-submission colloquium and also the publications besides other technical aspects, the Doctoral Committee shall permit the candidate to submit the thesis.
- 23.4. If the Committee is not satisfied with the work of the candidate, it may, in consultation with the Research Supervisor, recommend for improvements. In this case, the candidate shall appear for pre-submission colloquium again before the Doctoral Committee within three months.
- 23.5. Every candidate shall (not later than six months after obtaining permission from the Doctoral Committee for submission of thesis) submit four copies of the thesis to the Registrar (Evaluation) through the supervisor and the chairperson of the P.G Department concerned. The candidate shall also pay the prescribed submission fee, and produce "No Due Certificates" from the Chairperson of the P.G Department/ Head of the Institution concerned, University Librarian and Hostel Warden.
- 23.6. The candidate shall, after the viva-voce examination, incorporate the suggestions put forth by the adjudicators and also the summary of viva-voce examination into his/her thesis, and submit two more copies and a soft copy to the Registrar (Evaluation) for sending them to the University Library (both the hard and soft copies of the thesis) and to the Government authorities (only hard copy).

24.

## Adjudication of Ph.D Thesis

- 24.1. After the approval of the final synopsis by the Doctoral Committee, the chairperson of the P.G Department shall write to the Chairperson of Board of Studies for the Panel of Adjudicators approved by BoS. The Chairperson of BoS shall send the



- 24.2. For the adjudication of Ph.D thesis, there shall be a Board of Adjudicators consisting of the Research Supervisor as the chairperson and two external members to be chosen by the Vice-chancellor from a panel of ten examiners prepared and approved by the Board of Studies.
- 24.3. The panel of adjudicators prepared and approved by the Board of Studies shall include only experts in the concerned field and not below the cadre of Readers/Associate Professors, or senior scientists/academicians who possess Ph.D in the concerned discipline/subject and have evidence of research experience in the field of research. Further, out of ten experts, five shall be from within Karnataka and five shall be preferably from universities/institutions outside Karnataka and/or foreign universities/institutions (if the Research Supervisor prefers foreign adjudicator, he/ she shall intimate the same to the Chairperson of P.G Department who in turn shall pass it on to the chairperson of BoS).
- 24.4. Each adjudicator appointed to adjudicate the thesis shall send the report to the Registrar--(Evaluation), marking a copy to the chairperson of Board of Adjudicators, within two months from the date of receipt of the thesis.
- 24.5. Each adjudicator's report on the thesis shall include the following.
- A critical account of the work of the candidate as embodied in the thesis and an evaluation of the work in terms of its contribution to the advancement of knowledge.
  - Details of any question/s to be asked to the candidate or points to be clarified by the candidate at the open viva-voce examination.
  - A categorical recommendation as to whether the Ph.D Degree should be awarded or not.
  - The adjudicators shall also be required to answer specifically the following.
    - Whether the Ph.D Degree can be awarded on the basis of the thesis as presented?
    - If the answer is no, whether the thesis can be resubmitted after revision/corrections? or a definite recommendation against the award of the Degree.
    - Whether the thesis is fit for publication with or without revision?

- 24.6. If all the reports are positive/favourable, the Registrar (Evaluation) shall take necessary steps and inform the chairperson of the Board of Adjudicators to (a) prepare and send the consolidated report on the thesis, and (b) arrange for the open viva-voce examination involving one of the external adjudicators approved by the Vice-chancellor.
- 24.7. If one of the examiners makes a qualified recommendation with valid reasons and recommends for re-submission of the thesis after suitable modifications, the Registrar (Evaluation) shall communicate to the concerned candidate, through the Research Supervisor, for re-submitting the thesis after suitable modifications within six months from the date of communication. In this case, the modified thesis shall be sent to the same adjudicator who recommended for re-submission.
- 24.8. No candidate shall, however, be permitted to resubmit the thesis more than once.
- 24.9. If one of the adjudicators makes a categorical recommendation against the award of the Degree, the thesis shall be referred to another external adjudicator. If the report of such adjudicator is favourable, then the candidate shall be eligible to appear for the open viva-voce examination. Otherwise (i.e., even if the third external adjudicator recommends against the award of Degree), the thesis shall be rejected. However, the reports of the adjudicators shall be made available to the candidate.
- 24.10. If both the external adjudicators make definite recommendations against the award of PhD Degree, the thesis shall be rejected.

## 25. Viva-Voce Examination

- 25.1. On the receipt of the favourable/satisfactory reports from all the three adjudicators, an open viva-voce examination shall be conducted on any working day by a Board constituted by the Registrar (Evaluation) based on the approval of the Vice-Chancellor. The Board shall comprise the following.
- Research Supervisor : Chairperson
  - One external Adjudicator (nominated by Vice-chancellor): Member
  - Co-Research Supervisor, if any: Member
  - Chairman of the BoS: Member
  - Chairman of the P. G Department of the University: Member

- 25.1.1 In case where the Research Supervisor cannot attend to the viva-voce examination, then the co-supervisor or external adjudicator shall be made the chairperson. However, a written consent from the Research Supervisor and



chairperson, then one more external adjudicator who adjudicated thesis shall be invited as the member if he/she is from India. Otherwise, another expert from out of the panel approved by BoS shall be invited as the member.

25.1.2 Under extraordinary circumstances where either of external adjudicators cannot be present for the viva-voce examination, the Vice-chancellor shall be competent to appoint another external substitute expert from out of the panel approved by the Board of Studies.

25.2. in addition to the above, the chairperson of the Viva-voce Board shall invite the chairperson and members of Doctoral Committee, and send a circular to all the P. G Departments (including his/her own Department) inviting interested Faculty Members, Research Scholars and Students to attend the viva-voce examination.

25.3. The Viva-voce examination shall primarily be designed to test the understanding of the candidate on the subject matter of the thesis including the methodology adopted and the competence in the field of research. Any of the points raised by the adjudicators shall be clarified during the viva-voce examination, and the report of the examiners shall be made available to the Board for Viva-voce examination.

25.4. In the case of candidates who are abroad and unable to be present physically, viva-voce examination may be held through video-conference mode as described above (with open viva) after the candidate pays the additional fee prescribed by the University from time to time.

25.5. The Report of the Open Viva-voce examination shall be signed by only the Research Supervisor and the External Examiner.

25.6. If the performance of the candidate in viva-voce examination is not satisfactory, he/she may be allowed one more chance to reappear for the viva-voce within three months.

## 26. Award of Ph.D Degree

26.1. After the successful completion of the open viva-voce examination by the candidate, the chairperson, Viva-voce Board, shall prepare and send the minutes of the viva-voce meeting (minutes shall be signed by the chairperson and external member) based on the candidate's performance in the viva-voce examination recommending to the University, on behalf of the Board, to award the Degree of Doctor of Philosophy to the candidate.

26.2. Along with the Degree, the degree awarding University shall issue a provisional certificate certifying to the effect that the Degree has been awarded in accordance with the provisions of UGC Regulations, 2009.



**Depository with UGC:** Following the successful completion of the evaluation process and announcement of the award of Ph.D, the University shall submit a soft copy of the Ph.D thesis to the UGC within a period of 30 days, for hosting the same in INFLIBNET, accessible to all Institutions/ Universities.

### Publication of Thesis

- 28.1. After the award of Ph.D Degree, the entire thesis or any part of the thesis may be published by the candidate with the written consent of the University, giving due credit to the Research Supervisor. Two copies of the published works shall be submitted to the University Library.
- 28.2. After the award of the Ph.D Degree, the thesis or any publication derived from the thesis work shall be the property of the University. The University shall rightfully share with the candidate and Supervisor for any copyright, patent or recognition to the thesis.
- 28.3. If a thesis is proved to be a copy/ plagiarization/ academic misrepresentation, the University shall have the powers to rescind the degree.

### 9. Prohibition for doing Ph.D.

The statutory officers of the University are prohibited from registering for Ph.D degree in the same University during their tenure.

### 10. Repeal and Savings

- 30.1 Notwithstanding anything contained in these Regulations, the Provisions of any Guidelines, Order, Rule or Regulations in force shall be inapplicable to the extent of their inconsistency with these Regulations.
- 30.2 The University shall be competent to issue such orders, instructions, etc., and prescribe such format, procedure, etc., as it may deem fit to implement the Provisions of these Regulations.
- 30.3 If any difficulty arises in the implementation of these Regulations, the Vice-chancellor shall, in consultation with the Deans, be competent to issue necessary clarifications and at the earliest possible opportunity thereafter report the action taken by him to the Academic Council for ratification.

\*\_\*\_\*



**ದಿನಾಂಕ 19-07-2011 ರಂದು ನಡೆದ ವಿಶ್ವವಿದ್ಯಾಲಯದ ವಿದ್ಯಾವಿಷಯಕ ಪರಿಷತ್ತಿನ ಸಾಮಾನ್ಯ ಸಭೆಯ ನಡವಳಿಗಳ ಸಂಬಂಧಿತ ಭಾಗ**

ಬಿಂ ನಂ.02

ವಿಷಯ: ದಿನಾಂಕ: 16 & 17-05-2011 ರಂದು ವಿಶ್ವವಿದ್ಯಾಲಯದ ಪರಿನಿಯಮಾವಳಿ ರಚನಾ ಸಮಿತಿ ರಚಿಸಿರುವ ವಿವಿಧ ಕರಡು ಶಾಸನಗಳನ್ನು ಅನುಮೋದನೆಗಾಗಿ ಮಂಡಿಸುವ ಬಗ್ಗೆ.

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ದಿನಾಂಕ: 16 & 17-05-2011 ರಂದು ವಿಶ್ವವಿದ್ಯಾಲಯದ ಪರಿನಿಯಮಾವಳಿ ರಚನಾ ಮಿತಿ ಸಭೆ ಸೇರಿ ಕೆಲವೊಂದು ಕರಡು ಶಾಸನಗಳನ್ನು ರಚಿಸಿ ವಿದ್ಯಾವಿಷಯಕ ಪರಿಷತ್ತಿನ ಪರಿಶೀಲನೆ ಹಾಗೂ ಅನುಮೋದನೆಗಾಗಿ ಸಲ್ಲಿಸಿದೆ. ವಿವಿರ ಕೆಳಗಿನಂತಿದೆ.

Title of the draft statute
STATUTES RELATING TO RULES OF BUSINESS OF THE SYNDICATE UNDER SECTION 28 and 29 OF KARNATAKA STATE UNIVERSITIES ACT, 2000
STATUES REALATING TO THE POWERS AND FUNCTIONS OF THE REGISTRAR UNDER SECTION 17 (3) AND (4) OF KARNATAKA STATE UNIVERSITIES ACT, 2000.
STATUTE RELATING TO THE APPOINTMENT AND FUNCTION OF THE FINANCE OFFICER UNDER SECTION 19(1) AND (2) OF THE KARNATAKA STATE UNIVERSITIES ACT, 2000.
STATUTE GOVERNING THE POWERS AND FUCNCTIONS OF THE DEANS OF THE FACULTIES UNDER SECION 21(1) AND 21(3) OF KSU ACT, 2000.
STATUTE GOVERNING THE APPOINTMENT, POWERS AND FUNCTIONS OF THE DIRECTOR, STUDENTS WELFARE UNDER SECTION 22 OF KARNATAKA STATE UNIVERSITIES ACT 2000.
STATUTE GOVERNING THE APPOINTMENT, POWERS AND FUNCTIONS OF DIRECTOR, PHYSICAL EDUCATION UNDERSECTION 26 OF KSU ACT, 2000.
STATUTE GOVERNING THE POWERS, DUTIES & FUNCTIONS OF THE DEPARTMENTAL COUNCIL AND THE CHAIRMAN UNDER SECTION 34(6), 34(8) AND 40(1)(P) OF THE KSU ACT, 2000.
STATUTE RELATING TO THE APPOINTMENT, POWERS AND FUNCTIONS OF THE VICE-CHANCELLOR IN CHARGE UNDER SECTION 16(1) OF THE KSU ACT, 2000.
STATUTES RELATING TO RULES OF BUSINESS OF THE BOARDS OF STUDIES UNDER SECTION – 33 OF KSU ACT, 2000
STATUTES GOVERNING THE ESTABLISHMENT OF POST GRADUATE DEPARTMENT OF STUDIES UNDER SECTION 4(i), 34 (iii, IV) AND 40 (i) (f) OF KSU ACT, 2000
STATUTES RELATING TO THE CONFERRING OF DEGREES AT CONVOCATION
STATUTE GOVERNING THE ESTABLISHMENT AND FUNCTIONS OF SPECIAL CELL FOR SC/ST UNDER SECTIONN 40(1) (P) OF THE KSU ACT, 2000
STATUTE GOVERNING THE ESTABLISHMENT AND FUNCTIONS OF SPECIAL CELL FOR OTHER BACKWARD CLASSES (OBC) UNDER SECTION 41(1) (p) OF THE KSU ACT, 2000
STATUTES RELATING TO PLANNING, MONITORING AND EVALUATION BOARD AND ITS DIRECTOR FRAMED UNDER SECTION 11 (i) SECTION 24, SECTION 27 (F) AND SECTION 35 OF THE KSU ACT – 2000.
REGULATIONS GOVERNING Ph.D DEGREE

**ನಿರ್ಣಯ**

ವಿಷಯಸೂಚಿಯಲ್ಲಿ ತಿಳಿಸಿದ 15 (ಹದಿನೈದು) ಪರಿನಿಯಮಾವಳಿಗಳನ್ನು ಸರ್ವಾನುಮತದಿಂದ ಅಂಗೀಕರಿಸಲಾಯಿತು. ಪರಿನಿಯಮಾವಳಿ 10ರಲ್ಲಿ Department of Studies ಬದಲಿಗೆ ಈ ಹಿಂದಿನ ವಿದ್ಯಾವಿಷಯಕ ಪರಿಷತ್ ಸಭೆಯಲ್ಲಿ ನಿರ್ಧರಿಸಿದಂತೆ School ಎಂಬುದನ್ನು ಸೂಕ್ತವಾಗಿ ಸೇರಿಸಬೇಕೆಂದು ತೀರ್ಮಾನಿಸಲಾಯಿತು.

  
 REGISTRAR

  
 VICE CHANCELLOR,  
 Vijayanagar, Sri Kriśnadevaraya University

ದಿನಾಂಕ 30ನೇ ಆಗಸ್ಟ್ 2011ರಂದು ನಡೆದ ವಿಶ್ವವಿದ್ಯಾಲಯದ ಸಿಂಡಿಕೇಟಿನ ಸಾಮಾನ್ಯ ಸಭೆಯ

ನಡವಳಿಗಳ ಸಂಬಂಧಿತ ಭಾಗ

ಐಟಿಂ ನಂ.(2)

ವಿಷಯ: ದಿನಾಂಕ: 19-07-2011 ರಂದು ನಡೆದ ವಿದ್ಯಾವಿಷಯಕ ಪರಿಷತ್ತಿನ  
ನಡವಳಿಗಳನ್ನು ದೃಢೀಕರಿಸುವ ಬಗ್ಗೆ.

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ದಿನಾಂಕ: 19-07-2011 ರಂದು ನಡೆದ ವಿದ್ಯಾವಿಷಯಕ ಪರಿಷತ್ತಿನ ನಡವಳಿಗಳನ್ನು  
ದೃಢೀಕರಿಸಲು ವಿಷಯವನ್ನು ಸಿಂಡಿಕೇಟಿನ ಪರಿಶೀಲನೆ ಹಾಗೂ ಅನುಮೋದನೆಗಾಗಿ ಮಂಡಿಲಾಗಿದೆ.

ತೀರ್ಮಾನ

ವಿದ್ಯಾವಿಷಯಕ ಪರಿಷತ್ತಿನ ನಡವಳಿ ಐಟಿಂ ನಂ. 03ರ ನಿರ್ಣಯಕ್ಕೆ ಈ ಕೆಳಗಿನ  
ತಿದ್ದುಪಡಿಯನ್ನು ಸೇರಿಸಿ ಅನುಮೋದಿಸಲಾಯಿತು.

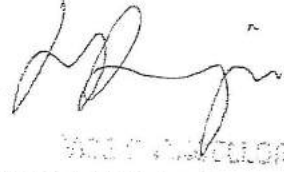
ಅ) 2012-13ನೇ ಸಾಲಿನಲ್ಲಿನಿಂದ ನಂದಿಹಳ್ಳಿ ಸ್ನಾತಕೋತ್ತರ ಕೇಂದ್ರದಲ್ಲಿ M.Ed ಮತ್ತು M.P.Ed  
ಸ್ನಾತಕೋತ್ತರ ಪದವಿ ತರಗತಿಗಳನ್ನು ಆರಂಭಿಸುವುದಕ್ಕಾಗಿ National Council for Teacher  
Educationಗೆ ಸೂಕ್ತ ಅರ್ಜಿ ಸಲ್ಲಿಸಲು ಅನುಮೋದನೆ ನೀಡಲಾಯಿತು.

ಬ) ಉಳಿದಂತೆ ವಿದ್ಯಾವಿಷಯಕ ಪರಿಷತ್ತಿನ ನಿರ್ಣಯಗಳನ್ನು ಸರ್ವಾನುಮತದಿಂದ  
ಅನುಮೋದಿಸಲಾಯಿತು.



REGISTRAR

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Jnana Sagar Campus, Vinayaknagar,  
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VICE-CHANCELLOR

Vijayanagar University, Chikabhalasaheb College  
Jnana Sagar Campus, Vinayaknagar,  
Cantonment, BELLARY-585 212