

# Vijayanagara Sri Krishnadevaraya University

JnanaSagarCampus, VinayakNagar, Cantonment, Ballari-583105

website: [www.vskub.ac.in](http://www.vskub.ac.in)



## Regulations Governing the Degree of Doctor of Philosophy of Vijayanagara Sri Krishnadevaraya University, Ballari

(Framed under Section 44 with Section 31(2) (II) of the Karnataka State Universities Act 2000)

**With effect from Academic year**

2024-2025

	<b>ವಿಜಯನಗರ ಶ್ರೀಕೃಷ್ಣದೇವರಾಯ ವಿಶ್ವವಿದ್ಯಾಲಯ</b> "ಜ್ಞಾನ ಸಾಗರ" ಆವರಣ, ವಿನಾಯಕ ನಗರ, ಕಂಟೋನ್ಮೆಂಟ್, ಬಳ್ಳಾರಿ - 583 105 <b>VIJAYANAGARA SRI KRISHNADEVARAYA UNIVERSITY</b> "Jnana Sagara" Campus, Vinayaka Nagara, Cantonment, Ballari - 583 105	
ಕುಲಸಚಿವರು		08392-242703 08392-242097

ಸಂಖ್ಯೆ: ವಿಶ್ರೀಕೃವಿಬ/ವಿ.ಮ.ವಿ/ಪಿಹೆಚ್.ಡಿ-ಪರಿನಿಯಮಾವಳಿ/2024-25/1901      ದಿನಾಂಕ: 23/9/2024

### ಅಧಿಸೂಚನೆ

ವಿಷಯ: ವಿಜಯನಗರ ಶ್ರೀ ಕೃಷ್ಣದೇವರಾಯ ವಿಶ್ವವಿದ್ಯಾಲಯದ ಪಿಹೆಚ್.ಡಿ  
ಪರಿನಿಯಮಾವಳಿ-2024 ನ್ನು ರಚಿಸಲು ಸಮಿತಿಯನ್ನು ರಚಿಸುವ ಕುರಿತು.  
ಉಲ್ಲೇಖ: ಮಾನ್ಯ ಕುಲಪತಿಗಳ ಅನುಮೋದನೆ ದಿನಾಂಕ. 18.09.2024

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ಮೇಲ್ಕಂಡ ವಿಷಯ ಹಾಗೂ ಉಲ್ಲೇಖದನ್ವಯ, ವಿಜಯನಗರ ಶ್ರೀ ಕೃಷ್ಣದೇವರಾಯ ವಿಶ್ವವಿದ್ಯಾಲಯದ ಪಿಹೆಚ್.ಡಿ ಪರಿನಿಯಮಾವಳಿ-2024 ನ್ನು ಯು.ಜಿ.ಸಿ ಯ ಮಾರ್ಗಸೂಚಿಗಳನ್ವಯ ಹೊಸದಾಗಿ ರಚಿಸುವ ಸಂಬಂಧ ಈ ಕೆಳಕಂಡ ಸಮಿತಿಯನ್ನು ರಚಿಸಿ ಅಧಿಸೂಚನೆಯನ್ನು ಹೊರಡಿಸಿದೆ.

ಕ್ರ.ಸಂ	ಹೆಸರು	ಪದನಾಮ
01	ಪ್ರೊ. ಜಿ. ಪಿ. ದಿನೇಶ್ ಪ್ರಾಧ್ಯಾಪಕರು, ನಿರ್ವಹಣಾಶಾಸ್ತ್ರ ಅಧ್ಯಯನ ವಿಭಾಗ, ವಿಶ್ರೀಕೃ ವಿಶ್ವವಿದ್ಯಾಲಯ, ಬಳ್ಳಾರಿ.	ಅಧ್ಯಕ್ಷರು
02	ಪ್ರೊ. ಶಾಂತನಾಯ್ಕ ಎನ್ ಪ್ರಾಧ್ಯಾಪಕರು, ಇಂಗ್ಲೀಷ್ ಅಧ್ಯಯನ ವಿಭಾಗ, ವಿಶ್ರೀಕೃ ವಿಶ್ವವಿದ್ಯಾಲಯ, ಬಳ್ಳಾರಿ.	ಸದಸ್ಯರು
03	ಪ್ರೊ. ಕೆ ಎಸ್ ಲೋಕೇಶ್ ಪ್ರಾಧ್ಯಾಪಕರು, ರಸಾಯನಶಾಸ್ತ್ರ ಅಧ್ಯಯನ ವಿಭಾಗ, ವಿಶ್ರೀಕೃ ವಿಶ್ವವಿದ್ಯಾಲಯ, ಬಳ್ಳಾರಿ.	ಸದಸ್ಯರು
04	ಪ್ರೊ. ಖಡ್ಗೆ ಉದಯ್ ಕುಮಾರ್ ಪ್ರಾಧ್ಯಾಪಕರು, ಭೌತಶಾಸ್ತ್ರ ಅಧ್ಯಯನ ವಿಭಾಗ, ವಿಶ್ರೀಕೃ ವಿಶ್ವವಿದ್ಯಾಲಯ, ಬಳ್ಳಾರಿ.	ಸದಸ್ಯರು
05	ಪ್ರೊ. ಹನುಮೇಶ್ ವೈದ್ಯ ಪ್ರಾಧ್ಯಾಪಕರು, ಗಣಿತಶಾಸ್ತ್ರ ಅಧ್ಯಯನ ವಿಭಾಗ, ವಿಶ್ರೀಕೃ ವಿಶ್ವವಿದ್ಯಾಲಯ, ಬಳ್ಳಾರಿ.	ಸದಸ್ಯರು
06	ಪ್ರೊ. ಸದ್ಯೋಜಾತಪ್ಪ ಎಸ್ ಪ್ರಾಧ್ಯಾಪಕರು, ವಾಣಿಜ್ಯಶಾಸ್ತ್ರ ಅಧ್ಯಯನ ವಿಭಾಗ, ವಿಶ್ರೀಕೃ ವಿಶ್ವವಿದ್ಯಾಲಯ, ಬಳ್ಳಾರಿ.	ಸದಸ್ಯರು
07	ಡಾ. ನಿರಂಜನ್ ಆರ್ ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರು, ಅರ್ಥಶಾಸ್ತ್ರ ಅಧ್ಯಯನ ವಿಭಾಗ, ವಿಶ್ರೀಕೃ ವಿಶ್ವವಿದ್ಯಾಲಯ, ಬಳ್ಳಾರಿ.	ಸದಸ್ಯ ಕಾರ್ಯದರ್ಶಿ

ಮುಂದುವರೆದು, ಸಮಿತಿಯು ಯು.ಜಿ.ಸಿ ಮಾರ್ಗಸೂಚಿಗಳನ್ವಯ ವಿಜಯನಗರ ಶ್ರೀ ಕೃಷ್ಣದೇವರಾಯ ವಿಶ್ವವಿದ್ಯಾಲಯದ ಪಿಹೆಚ್.ಡಿ ಪರಿನಿಯಮಾವಳಿ-2024 ನ್ನು ತಯಾರಿಸಿ ಈ ಕಛೇರಿಗೆ ಸಲ್ಲಿಸಲು ಸೂಚಿಸಿದೆ.

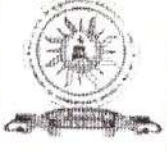
23/9/24  
ಕುಲಸಚಿವರು

ವಿಜಯನಗರ ಶ್ರೀ ಕೃಷ್ಣದೇವರಾಯ ವಿಶ್ವವಿದ್ಯಾಲಯ  
ಬಳ್ಳಾರಿ

ಗೆ,  
ಮೇಲ್ಕಂಡ ಸಮಿತಿಯ ಸದಸ್ಯರುಗಳಿಗೆ,

ಪ್ರತಿಗಳು:

1. ಮಾನ್ಯ ಕುಲಪತಿಗಳ ಆಪ್ತ ಕಾರ್ಯದರ್ಶಿಗಳು, ವಿಶ್ರೀಕೃ ವಿಶ್ವವಿದ್ಯಾಲಯ, ಬಳ್ಳಾರಿ.
2. ಕಛೇರಿ ಪ್ರತಿ.



# ವಿಜಯನಗರ ಶ್ರೀ ಕೃಷ್ಣದೇವರಾಯ ವಿಶ್ವವಿದ್ಯಾಲಯ

ಜ್ಞಾನಸಾಗರ ಆವರಣ, ವಿನಾಯಕ ನಗರ, ಕಂಟೋನ್ಮೆಂಟ್, ಬಳ್ಳಾರಿ-583105

**Vijayanagara Sri Krishnadevaraya University**  
Jnanasagara Campus, Vinayak Nagar, Cantonment, Balalri-583105



ಡಾ. ನಿರಂಜನ್ ಆರ್

ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರು, ಅರ್ಥಶಾಸ್ತ್ರ ಅಧ್ಯಯನ ವಿಭಾಗ  
ಸದಸ್ಯ ಕಾರ್ಯದರ್ಶಿ, ಪಿಹೆಚ್.ಡಿ ಪರಿನಿಯಮಾವಳಿ ಸಮಿತಿ-2024

ದಿನಾಂಕ: 01.01.2025

ಗೆ,

ಕುಲಸಚಿವರು

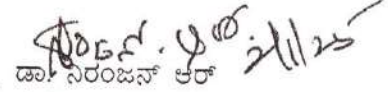
ವಿಜಯನಗರ ಶ್ರೀ ಕೃಷ್ಣದೇವರಾಯ ವಿಶ್ವವಿದ್ಯಾಲಯ  
ಬಳ್ಳಾರಿ.

ವಿಷಯ: ವಿಜಯನಗರ ಶ್ರೀ ಕೃಷ್ಣದೇವರಾಯ ವಿಶ್ವವಿದ್ಯಾಲಯದ ಪಿಹೆಚ್.ಡಿ  
ಪರಿನಿಯಮಾವಳಿ-2024 ನ್ನು ಸಲ್ಲಿಸುತ್ತಿರುವ ಕುರಿತು.

ಉಲ್ಲೇಖ: ವಿಶ್ರೀಕೃವಿಬ/ವಿ.ಮ.ವಿ/ಪಿಹೆಚ್.ಡಿ-ಪರಿನಿಯಮಾವಳಿ/2024-25/1901  
ದಿನಾಂಕ. 29.09.2024

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ಮೇಲ್ಕಂಡ ವಿಷಯ ಹಾಗೂ ಉಲ್ಲೇಖದನ್ವಯ, ವಿಜಯನಗರ ಶ್ರೀ ಕೃಷ್ಣದೇವರಾಯ ವಿಶ್ವವಿದ್ಯಾಲಯದ ಪಿಹೆಚ್.ಡಿ ಪರಿನಿಯಮಾವಳಿ-2024 ರ ಸಮಿತಿಯನ್ನು ರಚಿಸಿದ್ದು ಅದರನ್ವಯ ಸಮಿತಿಯು ವಿವಿಧ ಹಂತಗಳಲ್ಲಿ ಸಭೆಯನ್ನು ಆಯೋಜಿಸಿ ಯು.ಬಿ.ಸಿ ಮಾರ್ಗಸೂಚಿಗಳನ್ವಯ ಪರಿಶೀಲಿಸಿ ರಚಿಸಿರುವ ವಿಜಯನಗರ ಶ್ರೀ ಕೃಷ್ಣದೇವರಾಯ ವಿಶ್ವವಿದ್ಯಾಲಯದ ಪಿಹೆಚ್.ಡಿ ಪರಿನಿಯಮಾವಳಿ-2024 ನ್ನು ತಮ್ಮ ಮುಂದಿನ ಕ್ರಮಕ್ಕಾಗಿ ಇದರೊಂದಿಗೆ ಲಗತ್ತಿಸಿ ತಮ್ಮ ಮುಂದಿನ ಕ್ರಮಕ್ಕಾಗಿ ಸಲ್ಲಿಸಿದೆ.

  
ಡಾ. ನಿರಂಜನ್ ಆರ್

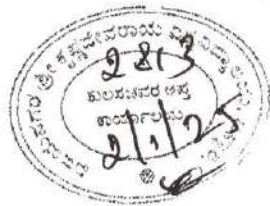
ಸದಸ್ಯ ಕಾರ್ಯದರ್ಶಿ

ಪಿಹೆಚ್.ಡಿ ಪರಿನಿಯಮಾವಳಿ ಸಮಿತಿ-2024





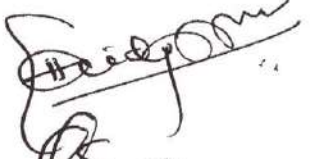


ವಿಜಯನಗರ ಶ್ರೀ ಕೃಷ್ಣದೇವರಾಯ ವಿಶ್ವವಿದ್ಯಾಲಯ  
ಬಳ್ಳಾರಿ

ಪ್ರತಿಗಳು:

1. ಮಾನ್ಯ ಕುಲಪತಿಗಳ ಆಪ್ತ ಕಾರ್ಯದರ್ಶಿಗಳು, ವಿಶ್ರೀಕೃ ವಿಶ್ವವಿದ್ಯಾಲಯ, ಬಳ್ಳಾರಿ.
2. ಸಮಿತಿಯ ಸದಸ್ಯರುಗಳಿಗೆ,
3. ಕಛೇರಿ ಪ್ರತಿ.



**Ph.D. Regulations Review Committee**  
(VSKUB/Academic/Ph.D.-Regulations/2024-25/190, Dated 23-09-2024)

Sl.No	Name	Designation	Signature
1	Prof. G.P. Dinesh Department of Studies in Management	Chairperson	
2	Prof. Shantha Naik Department of Studies in English	Member	
3	Prof. K.S.Lokesh Department of Chemistry	Member	
4	Prof. Khadka Udayakumar Department of Physics	Member	
5	Prof. Hanumesh Vaidya Department of Mathematics	Member	
6	Prof. Sadhyojathappa <b>S</b> Department of Commerce	Member	
7	Dr. Niranjana.R Department of Economics	Member Secretary	

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## **GENERAL INSTRUCTIONS**

1. The Ph.D candidates are required to strictly adhere to all the procedures and requirements as prescribed in these Regulations.
  2. If any action is required under these Regulations, the relevant provisions must be cited/quoted.
  3. The Ph.D. candidate is expected to download (from the University Website) a copy of these Ph.D. Regulations, and be familiar with all the rules, regulations, and requirements. Ignorance of the rules, regulations and requirements shall not be accepted as an excuse for any lapse.
  4. The Ph.D. candidate must prepare and sign the Declaration as per the format provided
  5. The Research Supervisor has to prepare and sign the Declaration as per the format provided.
  6. Before sending the thesis for evaluation, both the Head of the Institution/ Chairperson/ Principal/ Co-ordinator/ Director of the University P.G. Department and the Research supervisor shall sign the certificate for evaluation of the thesis in all the copies of the thesis.
  7. The research supervisor (and co-supervisor wherever applicable) shall be given a final copy of the thesis.
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# Regulations Governing the Degree of Doctor of Philosophy (Ph.D.) of Vijayanagara Sri Krishnadevaraya University, Ballari

(Framed under Section 44 read with section 31 (2) (ii) of the KSU Act, 2000)

## Preamble

In exercise of the powers conferred by clauses (f) and (g) of sub-section (1) of section 26 of the University Grants Commission Act, 1956 (3 of 1956), and in supersession of the UGC (Minimum Standards and Procedure for Awards of M.Phil. /Ph.D. Degree) Regulations, 2016 and its amendments, the University Grants Commission has issued Regulations (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022, and the same is notified in The Gazette of India [Part III- Section 4] November 7, 2022 and has directed all Universities to amend the concerned Regulations accordingly. In concurrence to the University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022, the Academic Council of Vijayanagara Sri Krishnadevaraya University in supersession of the existing Ph.D. Regulations 2019 and its amendments, hereby makes the following Ph.D. Degree Regulations, 2024.

## Salient Features

1. Admissions shall be based mainly on the qualifying exam marks, Entrance Test and an Interview duly following the merit-cum-reservation policy of the State Government.
2. Only the predetermined number of students shall be admitted to the Ph.D. Programme.
3. Allocation of Research Supervisor for a selected student shall be decided by the Department based on the number of student vacancies per faculty member, the available specialization among the faculty guides and the student's research interest as indicated by the student during the interview.
4. The selected student has to undertake course work which shall be treated as pre-Ph.D. preparation.
5. Research work may be carried out either on Full-Time basis or on Part-Time basis.
6. Thesis shall be adjudicated by a Board of Adjudicators consisting of three examiners including the Research Supervisor, out of which preferably one shall be from outside the State/Country.

## 01. Title and Commencement

- 1.1. These Regulations shall be called, **Regulations Governing the Degree of Doctor of Philosophy (Ph.D.) of Vijayanagara Sri Krishnadevaraya University, 2024.**
- 1.2. These Regulations shall come into force from the date of assent of the Chancellor.

## 02. Definitions:

In these Regulations, unless the context requires otherwise or it is specifically so defined,

21. **Academic Council** means Academic Council of the university constituted under Section 30 of the KSU Act 2000.
22. **Board of Studies (BOS)** means the Board of Studies (Post-Graduate) of the University in the discipline/subject concerned.

23. **Co-supervisor/Co-guide** means the recognized Research Supervisor who guides and supervises within the discipline and inter-disciplinary research of a candidate as the second supervisor along with the Research Supervisor.
24. **Course Work** means the courses prescribed as a part of Ph.D. Programme and which all the candidates shall successfully complete as a pre-requisite to pursue Ph.D programme.
25. **Degree** means the Degree of Doctor of Philosophy (abbreviated as Ph.D.).
26. **Department Council** means the Council comprising the teachers of the University Department who possess Ph.D. Degree.
27. **Doctoral Committee** means the Committee constituted by the University to assess and recommend the applications to the Board of Studies on the basis of suitability of the Ph.D. applicant research proposal for registration and also to review the progress of the candidates, and permit the candidate to submit the thesis after reviewing the work of the candidate in the pre-submission colloquium.
28. **Entrance Test** means the test taken by the applicants who seek to qualify themselves for registration for Ph.D. Programme.
29. **Fee** means the fee prescribed by the University from time to time for the Ph.D. Programme.
210. **Foreign Students** means those who are foreign citizens and who have completed their master's degree or equivalent recognized by UGC as equivalent to P. G. Degree from a recognized foreign university/institution.
211. **Plagiarism** means the practice of taking someone else's work or idea and passing them as one's own.
212. **Pre-Registration Colloquium** means the presentation of the Ph.D. proposal/outline prepared by the candidate before the Doctoral Committee to prove his/her preparedness to take up the research work.
213. **Provisional Registration** means the registration letter issued to the candidates by the University w.e.f. from the date of the successful completion of coursework and pre-registration colloquium. The letter shall be issued by the University only after the payment of the requisite fee.
214. **Refereed/Reputed Journals** means a UGC Care Research journal List or professional or literary journal or publication in which articles or papers are selected for publication by a panel of referees who are experts in the field.
215. **Research Proposal/Outline** means a brief write-up in the form of research proposal on the proposed research work which shall be submitted by the candidate along with the application (Application – B) for provisional registration for Ph.D. programme.
216. **Research Supervisor/Guide** means the qualified faculty who is recognized by the University as Research Supervisor/Guide to guide the candidates for their Ph.D. Programme.

217. **Sponsored Candidate** means those permanent employees of educational and/or research institutions and organizations of public/private sector deputed to pursue Ph.D.
218. **Synopsis** means the final synopsis of the completed research work which shall be submitted by the candidate.
219. **University** means Vijayanagara Sri Krishnadevaraya University, Bellary established and incorporated under Section 3 of the KSU Act, 2000.

### 03. Subject/Title of Research and Nature of Research Programme

- 3.1. Subject: The subject of research shall normally relate to the main branch of knowledge chosen by the applicant at the level of his/her Post-Graduate studies.
- 3.2. Inter-disciplinary Research: A candidate who is willing to take up research in a subject other than the one chosen for Post-Graduate Degree and/or in a subject which is of an inter-disciplinary nature shall also be eligible for registration as follows:
- 3.2.1. The candidate shall be eligible for registration provided the research topic and the proposal are recommended by the Doctoral Committee and approved by the concerned Board of Studies of the subject/ discipline wherein he/she wants to work for Doctoral Degree. In this case, the Degree shall be awarded in the subject/discipline in which he/she has applied/registered for Ph.D. work. Further, if the Research Supervisor requires, a co-supervisor from existing/another discipline may be considered.
- 3.2.2. If the candidate wants to pursue the Ph.D. Degree in the subject/discipline other than the one which he/she has chosen for P.G Degree, then a Special Board comprising of the Chairpersons of both the Boards of Studies and Departments shall examine the proposal and decide the Department to which the application shall be sent for further processing. Once, the special Board decides and sends the application to a Department, then all the works shall be carried out in that Department under the supervision of a Research Supervisor of that Department. Further, the Degree shall be awarded in the subject/ discipline of the Department to which the application is sent.
- 3.3. There shall be two types of Research Candidates – one, Full-Time and another, Part-Time.
- 3.3.1 Full Time: Any full time candidate is one who is having requisite qualification and eligible for admission to Ph.D program as per the regulations.
- 3.3.2. Part Time: Subject to the fulfilment of 3.3.1 a part-time candidate is one who is a fulltime/permanent employee employed in P.G Departments of the University, affiliated/constituent colleges of the University or any other Indian University/Research Institution/Organizations/scientists/ Professionals/Civil Servants/Group A and B officials of Government/ institutions/industries/corporations and also those working as Librarians/Physical Education Personnel. The candidate shall submit "No

Objection Certificate" from the appropriate authority in the organization where the candidate is employed, clearly stating that.

- a. The candidate is permitted to pursue studies on a part-time basis.
  - b. His/her official duties permit him/her to devote sufficient time for research.
  - c. If required, he/she will be relieved from the duty to complete the course work.
- 3.4. The employees who take up Ph.D. programme on Full-Time basis shall produce a certificate from their employer-organizations for having sanctioned study leave.
- 3.5. Medium of instruction of Ph.D. Programme: Medium of instruction of Ph.D. programme including entrance test and course work shall be in English only. The research scholar shall write and submit his/her all research work (colloquium proposals, research paper, seminar paper, synopsis, final thesis etc) of Ph.D in English. This rule is not applicable to the departments of languages such as Kannada, Hindi and other regional languages.
- 3.6. However a candidate from social sciences is allowed to submit his/her all research work (colloquium proposals, research paper, seminar paper, synopsis, final thesis etc) including Ph.D thesis either in English or in Kannada.

#### 04. Eligibility to apply for Admission to Ph.D. Programme

4.1. The eligibility for admission to Ph.D Program is as below:

4.1.1 Candidates who have completed:

- i. A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed.  
**or**
- ii. equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.
- iii. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the UGC from time to time.
- iv. Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed.

v. Any candidate who has obtained a Post-Graduate Degree from a recognized University including foreign students (sponsored by their Embassies) and sponsored candidates securing not less than 55 % marks at the Post- Graduate Degree shall be eligible to apply for the PhD Degree Programme.

4.1.2 Candidates who have completed the M.Phil programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

4.2. If the results of Master's Degree are declared in the form of grades/credits/cumulative points in their marks cards/transcripts, such grades/points shall be converted into percentage to assess the minimum eligibility criteria as specified above in Regulations 4.1.

#### 05. Intake:

5.1. A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than **Eight (8) Ph.D. scholars**. An Associate Professor/Co-supervisor as Research Supervisor can guide up to a maximum of **six (6) Ph.D. scholars** and an Assistant Professor/Co-supervisor as Research Supervisor can guide up to a maximum of **four (4) Ph.D. scholars**. These maximum limits prescribed, cannot be exceeded under any circumstance, unless specified by the UGC. The above numbers shall also include the candidates who have registered for Ph.D. degree in other universities (acceptance of guide ship of other universities shall be with the permission of the parent university), out of which seat shall be reserved as below:

Sl.NO	Designation	Candidates Allocation
i	Professor	a. GM - 4 b. SC - (1) c. ST/Category - I (1) d. OBC - (2)
ii	Associate Professor	a. GM-3 b. SC - (1) c. ST/Category - I (1) d. OBC (1)
iii	Assistant Professor	a. GM-2 b. SC/ST - (1) c. Category I/OBC - (1)


- 5.2. The seats reserved for SC and ST candidates shall be interchangeable (i.e., SC vacancy shall be filled with ST candidate and vice-versa if there is no eligible candidate from SC or ST). However, they shall not be transferred to General Pool.
- 5.3. Each supervisor can guide one international research scholar and one research scholar belongs to Differently abled Person/Children of Devadasi/ Transgender on a supernumerary basis over and above the permitted number of Ph.D scholars as specified in Clause 5.1 above.
- 5.4. Department shall adhere to the State-level reservation policy as applicable from time to time such as SC/ST, Kalyana Karnataka (KK) and Non-KK, EWS reservation policy.

**06. Notification of Vacant Seats:**

The University shall notify well in advance in the institutional website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in the regional language, the number of seats for admission, subject/discipline- wise distribution of available seats, criteria for admission, procedure for admission, examination centre (s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates.

**07. Submission of Applications and their Processing:** Applications by the eligible and interested candidates shall be submitted as follows.

- 7.1. Submission of application to the chairperson of the concerned P.G Department (this application shall be coded as **Application –A**).
- 7.2. The Chairperson of the P.G. Department, with the help of the Department Council, shall arrange for (a) scrutiny of applications to determine the eligibility including that of the applicants who are eligible for exemption from Entrance Test, (b) prepare the consolidated list of applicants, (c) university centrally/department wise conduct the Entrance Test and evaluation – get the question papers set, conduct the test, get the answer scripts valued and (d) arrange for pre-registration colloquium.
- 7.3. Subject to completion of the Entrance Test and pre-registration colloquium, the candidates (including those who are exempted from Entrance Test) shall submit another application (**Application–B**) along with the Research Proposal/Outline to the Chairperson of the concerned P. G. Department for Provisional Registration for Ph.D. Programme after paying the requisite fee to the University.
- 7.4. The Chairperson of the Department shall send the applications along with the recommendation of the Doctoral Committee to the chairperson of the Board of Studies for its approval. Chairperson of the Board shall return them after approval or otherwise to the chairperson of the P. G. Department who shall forward them to the University for Provisional Registration.
- 7.5. Notwithstanding the clause 7.1, a candidate who qualifies under Direct selection method as herein before referred with UGC-JRF/ DST-INSPIRE fellowship/ National Level Funded Projects with fellowships and Indian Council for Cultural Relations (ICCR)/any other agencies/Institution/Government sponsored foreign candidates may apply at any time and be admitted subject to the availability of vacancy. Such applications for admission to Ph.D programme shall be made with the consent of the supervisor and forwarded through the Chairperson/Director/Principal/Co-ordinator of the Department concerned.

  
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- 7.6. After the completion of the course work, the successful candidates shall submit the final application (**Application-C**) to the chairperson of the P.G Department who shall forward them to the University for Confirmation of Registration for Ph.D. programme.

**08. Entrance Test:**

- 8.1. All the applicants who possess at least the minimum percentage of marks in their P.G. Degree as specified above in clause 4.1 shall appear for the Entrance Test.
- 8.1.1 Candidate has to obtain minimum 45 % marks at Entrance Test to be eligible Ph.D. Degree Programme.
- 8.1.2 A relaxation of 5 % of marks (from 45% to 40%) shall be allowed for the candidates belonging to SC/ST/Cat-I/EWS/OBC (Non-Creamy layers) /Differently-abled category in the entrance examination.
- 8.1.3 If there is no eligible SC/ST/Cat-1 candidates are available as per clause 8.1.2 then the further relaxation of 5% (from 40% to 35%) shall be allowed for SC/ST/Cat-1 candidates only.
83. UGC-NET (including JRF)/UGC-CSIR NET (including JRF)/National Level Funded Projects with fellowships and Indian Council for Cultural Relations (ICCR)/DST Inspire Fellowship/GATE/SLET/KSET/teacher fellowship holder shall be eligible for exemption from the Entrance Test.
84. The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific and the syllabus and other details pertaining to the Entrance Test shall be finalized by the respective Board of Studies.
85. The following pattern shall be followed by all the departments for conducting Entrance Test.
- 8.5.1. Maximum marks for Entrance Test: 100.
- 8.5.2. Nature of questions: Multiple Choice-answer Questions (MCQs).
- 8.5.3. Syllabus for Entrance Test: Research Methodology and cognate/core specific subjects of the respective Department which is conducting the Entrance Test,
- 8.5.4. Number of Questions: 100 questions, each carrying one (1) mark, 50% of the questions shall be from Research Methodology and the remaining 50% from the cognate/core specific subjects. The Department Council shall prepare the course inputs for the Entrance Test.
- 8.5.5. Duration of Entrance Test: Two hours (120minutes).
86. An interview/viva-voce to be organized by the department where the candidates are required to discuss their research interest/area through a presentation before the respective Department Council. The interview/viva voce shall also consider the following aspects, viz. whether the candidate possesses the competence for the proposed research; the research work can be suitably undertaken at the department/Institution/College and the proposed area of research can contribute to new/additional knowledge.

## 09. Preparation of Merit List of Successful Candidates:

91. After the conduct of Entrance Test and Interview/Viva-voce, the Consolidated Merit List results shall be prepared as: a) the candidates those who are exempted from Entrance Test and b) those who have written Entrance Test shall be prepared as per Merit cum Reservation Policy on the basis of the marks obtained in the entrance test, interview and marks obtained in the qualifying examination as indicated in 9.2, 9.3 and 9.4.
92. The candidates who have cleared the UGC-NET (including JRF)/UGC-CSIR NET (including JRF)/GATE/ SLET(KSET) prior to March 27<sup>th</sup> 2024 and DST Inspire Fellowship holder shall be placed based on their percentage of marks secured by them at their qualifying PG examination and interview in the Ratio of 70:30 respectively.

Sl. No.	Particulars
1.	70% weightage in qualifying PG examination
2.	30% weightage of performance in the interview/Viva-voce
3.	Total 100 % for those who are exempted from Entrance Test

93. However for the candidates who cleared UGC-JRF/NET post March 27<sup>th</sup> 2024, the UGC has considered NET score for admission to Ph.D programme and the criteria is as follows:

Sl. No.	Particulars
1.	70% weightage in qualifying NET Scores
2.	30% weightage of performance in the interview/Viva-voce
3.	Total 100 % for those who are exempted from Entrance Test

The Ph.D. admission will be based on the combined merit of NET marks and the marks obtained in the interview/viva voce.

94. The merit list for those candidates who appear for the written entrance test shall be prepared by taking the candidates marks at their qualifying examination, written entrance test and interview as in the following table:

Sl.No.	Particulars
1.	50% of weightage in qualifying PG examination
2.	35% weightage of performance in entrance test
3.	15% weightage of performance in the interview/Viva-voce
4.	Total 100% for those who are not exempted from Entrance Test

However, candidates who do not secure overall 50% of marks shall not be qualified for the Ph.D. admission.

95. Names that appear in the Consolidated Merit List shall be considered for the present vacancies only.

## 10. Procedures for Recognition of Research Supervisor/Guide:

- 10.1. All Regular Professor/Professors working as Statutory Officers in the university, Associate Professor of the university, Librarian and Deputy Librarians, Director and Deputy (Professor/Associate Professor Cadres) Directors of Physical Education of the University with Ph.D. degree shall be eligible to supervise Doctoral candidates.

- 10.2. The Permanent/Regular faculty members working as Professor/Professors as Statutory Officers in the university, Associate Professor in the university with a Ph.D and at least five research publications other than Ph.D work with single author or as a first author/corresponding author in their concerned discipline in UGC-Care listed/refereed/peer-reviewed journals. Permanent faculty members working as Assistant Professors with Ph.D (after two years of Completion of Ph.D) and at least three research publications other than Ph.D work as single author or as a first author/corresponding author in their concerned discipline in UGC-Care listed/refereed/peer-reviewed journals may be recognized as a Research Supervisor in the university where the faculty member is employed or in its affiliated Post-graduate Centres/Colleges/institutes. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors. Ph.D. awarded by a university under the supervision of a faculty member who is not an employee of the university or its affiliated Post- graduate Centres/Colleges/institutes would be in violation of these Regulations.
- 10.3. For Ph.D. scholars working in Central government/ State government research institutions whose degrees are given by Higher Educational Institutions, the scientists in such research institutions who are equivalent to Professor/Associate Professor/Assistant Professor can be recognized as supervisors if they fulfil the above requirements.
- 10.4. Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the Higher Educational Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
- 10.5. Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority.
- 10.6. Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.
- 10.7. In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor from outside the Department/School/Centre/College/University may be appointed.
- 10.8. As in clause 5.1, an eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given point of time.
- 10.9. In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.
- 10.10. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already

registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

- 10.11. Teachers, Librarians and Directors of Physical Education with Ph.D. Degree working in the affiliated/constituent colleges teaching PG programs of the University which are recognized by the University as Research Centres and having permanent teaching or research experience of three years after obtaining Ph.D. shall also be eligible for recognition as Research Supervisors subject to the fulfilment of other criteria as in 10.1.
- 10.12. In all the above cases (Regulations Clause 10.1 to 10.4), the Board of Studies shall satisfy itself that the person has a sustained record of independent Post-Doctoral Research and Publications.
- 10.13. A Research Supervisor shall not opt to become co-supervisor for more than 50 % of the allotted quota.
- 10.14. In case of interdisciplinary subjects, a candidate may have two supervisors of which one is the Research Supervisor and another is Co-supervisor. However, either the Research Supervisor or Co-supervisor shall be from the P.G. Department of the University.
- 10.15. Colleges may be considered eligible to offer Ph.D. programmes only if they satisfy the availability of eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities as per these Regulations laid down by university.
- 10.16. Post-graduate Departments of Colleges, which have completed five years, Research laboratories of Government of India/State Government with at least two Ph.D. qualified teachers/scientists/other academic staff in the Department concerned along with required infrastructure, supporting administrative and research promotion facilities as per these Regulations, stipulated under sub-clause 10.10, shall be considered eligible to offer Ph.D. programmes. Colleges/Research Institute should additionally have the necessary recognition by the University under which they operate to offer Ph.D. programme.
- 10.17. Colleges with adequate facilities for research as mentioned below alone shall offer Ph. D. programmes:
  - 10.17.1. In case of science and technology disciplines, exclusive research laboratories with sophisticated equipment as specified by the University concerned with provision for adequate space per research scholar along with computer facilities and essential software, and uninterrupted power and water supply;
  - 10.17.2. Earmarked library resources including latest books, Indian and International journals, e-journals, extended working hours for all disciplines, adequate space for research scholars in the Department/library for reading, writing and storing study and research materials;
  - 10.17.3. Colleges along with above (10.17.1 & 10.17.2) may also access the required facilities of the neighbouring University/Colleges, or of those Institutions / Colleges/R&D laboratories / Organizations which have the required facilities.

  
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**11. Admission of International students in Ph.D. programme.**

- 11.1. Each supervisor in the university can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in clause 5.1.
- 11.2. The university may decide its own selection procedure for Ph.D. admission of international students keeping in view the guidelines/norms in this regard issued by statutory/regulatory bodies concerned from time to time.

**12. Allotment of Research Supervisor/Guide:**

- 12.1. After the finalization of Consolidated Merit List but before the Pre-registration Colloquium, the chairperson of the P. G. Department shall convene a meeting of the Department Council to allot the candidates to the Research Supervisors based on the merit list, specialization stream of the candidate and the Research Supervisor, and the willingness of both the Research Supervisor and the Candidate.
- 12.2. After the allotment of candidate to the supervisor, the candidate shall finalize the research topic/title and proposal in consultation with the Research Supervisor for presentation before the Doctoral Committee.

**13. Change of Research Supervisor/Guide:**

- 13.1. Generally, change of Research Supervisor/Guide shall not be entertained and permitted.
- 13.2. Under extraordinary circumstances such as death or disability on health ground of the supervisor or transfer of the supervisor, the change of research supervisor shall be permitted by the university at the request of the candidate and on the recommendation of the chairperson of the P.G Department.
- 13.3. In case of any conflict between the research supervisor and the candidate, the chairperson of the P. G Department, on the receipt of request letter either from the candidate or from the research supervisor or both, shall refer the matter to the Doctoral Committee. The Doctoral Committee shall examine the case and send its report/recommendation to the chairperson of the P. G Department who in turn shall forward it to the Vice Chancellor. The decision of the Vice Chancellor shall be final in this regard.
- 13.4. In case of relocation of a Ph.D. women scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.

**14. Doctoral Committee:**

- 14.1. There shall be a subject-wise Doctoral Committee constituted by the University for the purpose of smooth conduct of Ph.D. programme. The Committee shall comprise as below:

<b>Chairman of P. G Board of Studies:</b>	<b>Chairman</b>
Chairman of Department Council	Member
Concerned Research Supervisor	Member
One Internal Expert (Nominated by the Vice-Chancellor)	Member
One/Two External Expert (Nominated by the Vice-Chancellor)	Member/s

14.2. The Doctoral Committee shall meet at least twice a year.

#### 15. Duties and Responsibilities of Doctoral Committee:

- 15.1. To conduct the pre-registration colloquium and to recommend for the approval of the Board of Studies.
- 15.2. To consider the half-yearly progress reports of registered candidates forwarded by the Supervisor and chairperson of the P. G. Department.
- 15.3. To conduct the pre-submission colloquium and accord permission for the submission of the thesis.
- 15.4. To consider the request of the candidate for the change of title of the thesis on the recommendations of the Supervisor and recommend to concerned Board of Studies.
- 15.5. To carry out such other duties as the University may entrust to the Committee from time to time in connection with the Ph.D. Programme.

#### 16. Research Proposal/Outline:

- 16.1. Every selected candidate shall prepare and submit a Research Proposal/ Outline to the Chairperson of the P. G. Department, who in turn shall arrange for the meeting of Department Council and subsequently forward the same to Doctoral Committee for further process. This is mandatory for all the selected candidates including those who are exempted from the Entrance Test.
- 16.2. The candidate shall prepare the Research Proposal/Outline defining clearly the objectives, methodology, literature survey, work plan and relevance of the proposed research and shall present it in the form of a colloquium before the Doctoral Committee.
- 16.3. The Doctoral Committee shall assess the preparedness of the candidate to take up the proposed research work and recommend to the Board of Studies for its approval. However, the Committee is empowered to suggest changes, if necessary, in the title/scope/methodology of the proposed research topic/outline in consultation with the Supervisor.
- 16.4. If the performance and/or preparedness of the candidate is not satisfactory, the Doctoral Committee shall give one more chance to the candidate to prepare for the colloquium again within three months from the date of the first colloquium. If the candidate fails even in the second attempt, he/she shall not be eligible for Provisional Registration.
- 16.5. All the applications which are cleared and recommended by the Doctoral Committee shall be sent to the chairperson of Board of Studies by the Chairperson of the P.G. Department for obtaining the approval.
- 16.6. The concerned Board of Studies shall scrutinize the research proposals, and

  
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accord its approval or otherwise – if the Board is not satisfied with the title of the research work, it shall return the proposal with its suggestions. When a proposal is returned by the Board with its suggestions, the candidate shall, in consultation with the Research Supervisor, attend to them and resubmit the proposal to the chairperson of the P. G. Department.

- 16.7. On receipt of the research proposals approved by the Board, the Chairperson of the P. G. Department shall forward them with Application - B to the University for Provisional Registration.
- 16.8. All the candidates who are given Provisional Registration shall take up and complete the prescribed coursework.
- 17. Course Work:**
- 17.1. After Provisional Registration, all the registered candidates shall take up the course work in the respective P.G Department/ College/ Institution for a maximum period of six months, and this shall be compulsory for both full time and part time candidates.
- 17.2. The course work for Ph.D. programme shall comprise four papers such; Course-I: Research Methodology, Course – II: Research and Publication Ethics (RPE), Course – III: Cognate/Core Subject and Course – IV: Field of Specialization. The Course I, III and IV are assessed for 100 marks each and course II is assessed for 50 Marks. This shall be followed by comprehensive viva-voce for 50 marks. However, it shall be noted here that Courses – I, II and III are common for all the candidates in a particular Department/Subject/Discipline.
- 17.3. The four-credit course shall have 48 contact hours and two credit course shall have 24 contact hours. Classes for Courses – I, II and III shall be arranged by the chairperson of the P. G. Department/Head of the recognized research centre, and that of Course – IV by the concerned Research Supervisor. Both the full-time and part-time candidates shall attend at least 75% of the classes in each paper to be eligible to appear for the examination.
- 17.4. The candidates who fail to attend a minimum of 75% of the classes in each of the Courses shall not be eligible to appear for the examination and to obtain the confirmation of registration for Ph.D. programme, and they have to attend the classes again with the next batch.
- 17.5. The credit assigned to the Ph.D. course work shall be a minimum of 12 credits and a maximum of 16 credits.
- 17.5.1 The course work shall be treated as prerequisite for Ph.D. preparation. A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses preparing the students for Ph.D. degree.
- 17.5.2 All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. They shall be duly approved by the authorized academic bodies. The following shall be the Structure of Course Work for Ph.D. Programme.

Sl. No	Name of the Course	Contact Hours/ Week	No of Credit /Paper	Maximum Marks			Examination (Hrs)
				Continuous Assessment	Course End Examination	Total	
01	Course - I: Research Methodology	04	04	30	70	100	03
02	Course-II: Research and Publication Ethics (RPE)	02	02	15	35	50	02
03	Course - III: Cognate/ Core Subject	04	04	30	70	100	03
04	Course - IV: Field of Specialization	04	04	30	70	100	03
<b>Course Sub Total</b>			<b>14</b>	<b>105</b>	<b>245</b>	<b>350</b>	
<b>Viva Voce</b>						<b>50</b>	<b>-</b>
<b>Grand Total</b>						<b>400</b>	

17.5.3 All candidates admitted to the Ph.D. programmes shall be required to complete the course work prescribed by the Department during the initial one or two semesters, (first year itself), failing which the provisional registration shall stand cancelled.

17.5.4 Candidates already holding M. Phil. Degree with course work and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil and have been permitted to proceed to the Ph.D. in integrated course, may be exempted by the Department from the Ph.D. coursework.

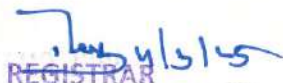
17.5.5 The Continuous Assessment for four credit course is for thirty (30) Marks of the course work which shall be awarded by the course teacher based on (a) Review of Literature 5 marks, (b) Assignments – 5 marks, (c) Seminar – 8 marks, and (d) Class Tests – 12marks. The continuous assessment for a course with two credits is (a) Assignment – 5 marks, (b) Class test – 10 Marks.

17.5.6 The Board of Studies (PG) concerned shall prepare and approve the course inputs for each of the four courses.

## 18. Examination and Evaluation of Answer Scripts:

18.1. There shall be a Board of Examiners (Ph.D.) constituted by the Registrar (Evaluation), with the approval of the Vice-Chancellor, based on the panel of examiners approved by the Board of Studies.

18.2. The chairperson of the Board of Examiners shall get the requisite number of questions papers set, get them approved by BoE, send them to the Registrar (Evaluation) and arrange for the evaluation of answer scripts. The chairperson of the P.G Department shall conduct the examination for all the candidates (i.e., including those who are working for Ph.D. in other institutions) in the Department.

  
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183. There shall be Course-end Examination of three-hour duration (for 70 marks Course) and two hour duration (for 35 marks course). Each answer script of the Course-end Examination shall be coded and assessed by two examiners (preferably, one internal Course Teacher and another external). The marks awarded to the answer script shall be the average of these two evaluations.
184. If the difference in the marks between two evaluations exceeds 20% of the maximum marks, such a script shall be assessed by a third external examiner. The marks awarded to that script shall be the average of two nearer marks out of the three evaluations.

**19. Minimum Pass Marks and Improvement Examination:**

- 19.1. A Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC 10-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme. However, there shall be no minimum for viva-voce. But every candidate shall compulsorily attend the viva-voce examination. The results shall be declared only on the basis of four courses.

19.1.1. Grades in the course work, including research methodology courses shall be finalized after double assessment (Internal and External) by Examination Section and the final grades shall be communicated to the concerned Department/ Institution/College.

- 19.2. The candidates who remained absent for the course work examination or failed shall be allowed to take only one additional examination within three months of their first examination. In case of failure even in an additional examination, his/her Provisional Registration shall get cancelled.

**20. Confirmation of Registration:**

- 20.1. After declaration of results, the successful candidate shall submit another application (Application-C) to the Chairperson of the P.G Department for forwarding to the University for issue of notification of confirmation of registration.

20.1.1. The title of the thesis remains tentative until the submission of Application-C. Once Application-C is submitted and subsequently the confirmation process is completed, the thesis title becomes permanent. However, if any change to the title of the thesis is required before the permanent registration, the candidate has to follow clause 15.4. After permanent registration, for any change in the title of the thesis, clause 23.7 applies.

- 20.2. University shall issue the necessary notification within fifteen days from the date of receipt of the letter from the Department confirming the registration which is in the form of permission to start working on the thesis.

**21. Place of Course Work and Research Work:**

- 21.1. All the provisionally registered candidates shall complete the course work in the P. G Department of the University or in the Laboratory/ Institution/ or the Department of an affiliated/constituent college (wherein the Research Supervisor is working) recognized by the University as Research Centre. This shall apply to all types of candidates - full-time, part-time, sponsored, foreign, JRF candidates, etc.

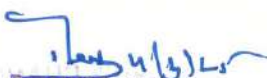
- 21.2. Part time candidates shall be available in the department/contact Research Supervisor for a minimum of 105 days in an academic year. A certificate in this regard shall be submitted from the Research Supervisor.
- 21.3. The place of research work of the candidate shall be wherein the Research Supervisor is employed/deputed/working. In case a research supervisor shifted to another university/institute the student may be given a choice to work in the parent department or in the department where the research supervisor is shifted, with prior approval of the university.

## 22. Progress Reports:

- 22.1. After the completion of the course work and the confirmation of the registration, every candidate shall submit half-yearly progress report regularly through the Research Supervisor to the chairperson of the P.G Department who shall place it before the subsequent meeting of the Doctoral Committee for its review. The half-yearly Progress Report should cover, among others, the aspects such as the review of literature, new data collected/obtained, techniques developed, progress in research, discussion of the work done including any findings, etc.
- 22.2. In view of 19.2, the progress report shall be considered only after the successful completion of the course work and the duration of the course starts from the date of completion of the course work.
- 22.3. Each semester, a Ph.D. scholar shall mandatorily appear before the Doctoral Committee to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The Doctoral Committee shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the University; such recommendations shall also be brought to the notice of the Ph.D. scholar.
- 22.4. If a candidate fails to submit two consecutive half-yearly progress reports in time and/or if the progress of the candidate is not satisfactory for two half-year periods, the Doctoral Committee shall recommend to the University for the cancellation of his/her registration in consultation with the concerned Research Supervisor. Before cancellation, a show-cause notice shall be issued to the candidate.

## 23. Validity Period of Registration:

- 23.1. Every Full-Time and Part-time candidate shall take a minimum of three years and four years respectively and a maximum of Six years from the date of Provisional Registration (from the date of commencement of course work) to submit his/her thesis.
- 23.2. In exceptional cases, extension beyond the above maximum period may be granted by the University on the recommendation of the Doctoral Committee and the Research Supervisor for justifiable reasons for a period of one more year after the candidate applies before the expiry of the period and pays the prescribed fee.
- 23.3. Under extraordinary circumstances by which a candidate is affected and such circumstances warranting a further extension of period for submission of thesis, the candidate shall make an appeal before the expiry of the period (after paying the extra fee as prescribed by the University) to the chairperson of the P.G Department through the Research Supervisor, and the chairperson of the P.G Department shall refer the same to the Doctoral Committee for its opinion. The opinion of the committee shall be submitted to the Vice-chancellor by the chairperson of the P.G Department for consideration and the decision shall be

  
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final and limited to only one year. Candidates who fail to submit the thesis even after the extended period shall repeat the programme by registering again.

- 23.4. Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.
- 23.5. Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.
- 23.6. A full/part time candidate may be permitted to work on part/full time basis on valid grounds.
- 23.7. A candidate may be permitted to change the title of his/her thesis up to three years from the date of registration.

#### 24. **Submission of Thesis:**

- 24.1. Subject to the above minimum and maximum period for submission of thesis, every candidate shall submit an application along with five (5) hard copies of synopsis of the thesis along with Soft copy, through the Research Supervisor to the Chairperson of P.G Department for permission to submit the thesis. The Chairperson shall arrange to place the candidate's application and synopsis before the meeting of the Doctoral Committee provided that the Researcher has published at least two (02) research papers in the UGC Care list journal/peer-reviewed/refereed journal/journals of repute (including the papers accepted for publication) and make two paper presentations in conferences/seminars before the submission of the thesis for adjudication. He/she is required to produce reprint/galley proof/proof of acceptance of research paper to the Doctoral Committee during his/her pre-submission colloquium.
- 24.2. Further, the candidate who has submitted the application for permission to submit thesis shall present a pre-submission colloquium with a preliminary draft thesis before the Doctoral Committee demonstrating his/her preparedness to submit the thesis.
- 24.3. Considering the work of the candidate, his/her performance at the pre-submission colloquium and evaluation of Preliminary draft thesis and the publications besides other technical aspects, the Doctoral Committee shall permit the candidate to submit the thesis.
- 24.4. If the Committee is not satisfied with the work of the candidate, it may, in consultation with the Research Supervisor, recommend for improvements. In this case, the candidate shall appear for pre-submission colloquium again before the Doctoral Committee within six months.
- 24.5. Every candidate shall (not later than six months after obtaining permission from the Doctoral Committee for submission of thesis) submit soft copy (in pdf format) of the Ph.D thesis and synopsis to the Registrar (Evaluation) through the supervisor and the chairperson of the P.G Department concerned. The candidate shall also pay the prescribed submission fee, and produce "No Due Certificates" from the Chairperson of the P.G Department/ Head of the Institution concerned, University Librarian and Hostel Warden.

24.5.1 The university shall evolve a mechanism using well developed software

and gadgets to detect plagiarism and other forms of academic dishonesty. In case of detection of plagiarism in the thesis, the level of penalty is as below:

- i. **Level 0:** Similarities up to 10% - Minor Similarities, no penalty.
- ii. **Level 1:** Similarities above 10% to 40% - Such student shall be asked to submit a revised script of thesis within a stipulated time period not exceeding 6 months.
- iii. **Level 2:** Similarities above 40% to 60% - Such student shall be debarred from submitting a revised thesis for a period of one year within period upper limit of Ph.D. Programme.
- iv. **Level 3:** Similarities above 60% - Such student registration for that programme shall be cancelled.

24.6. The candidate shall submit plagiarism report to the Registrar (Evaluation) generated by the official software of the University. The report shall be duly attested by the Research Scholar and Research Supervisor asserting to the originality of the work, vouching that the plagiarism is as per the regulations mentioned in 24.5.1. Further, the Registrar (Evaluation) shall get the soft copy (in MS word form) of thesis for plagiarism test to confirm that the plagiarism levels is as per 24.5.1. Otherwise, the Registrar (Evaluation) shall reject the thesis and ask the Research Scholar to resubmit the thesis through proper channel.

24.7. The candidate shall, before the viva-voce examination, incorporate the suggestions if any, made by the adjudicators into his/her thesis, and submit two hard copies and a soft copy to the Registrar (Evaluation) through the concerned Guide and Chairperson of the department for sending them to the University Library (both the hard and soft copies of the thesis) and to the Government authorities (only hardcopy).

## 25. Adjudication of Ph.D. Thesis:

25.1. After the approval of the final synopsis by the Doctoral Committee, the chairperson of the P.G Department shall write to the Chairperson of Board of Studies for the Panel of Adjudicators approved by BOS. The Chairperson of BOS shall send the approved panel to the Registrar (Evaluation) by name.

25.2. For the adjudication of Ph.D. thesis, there shall be a Board of Adjudicators consisting of the Research Supervisor as the chairperson and two external members to be chosen by the Vice-chancellor from a panel of ten examiners prepared and approved by the Board of Studies.

25.3. The panel of adjudicators prepared and approved by the Board of Studies shall include only experts in the concerned field and not below the cadre of Readers/Associate Professors, or senior scientists/academicians who possess Ph.D. in the concerned discipline/subject and have evidence of research experience in the field of research. Further, out of ten experts, five shall be from within Karnataka and five shall be preferably from universities/institutions outside Karnataka and/or foreign universities/ institutions (if the Research Supervisor prefers foreign adjudicator, he/ she shall intimate the same to the Chairperson of

  
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P.G Department who in turn shall pass it on to the chairperson of BOS).

- 25.4. In confirmation to 25.3, for Ph.D students who submitted Ph.D thesis as per clause 3.6 of this regulation, the research supervisor shall confirm and provide the list of five adjudicators out of the state for evaluation of Ph.D thesis in Kannada. However, in case the adjudicators are not available from out of the state the research supervisor shall resubmit the list of panel of examiners within the state approved by the concerned BoS.
- 25.5. Each adjudicator appointed to adjudicate the thesis shall send the report to the Registrar (Evaluation), marking a copy to the chairperson of Board of Adjudicators, within two months from the date of receipt of the thesis.
- 25.6. Each adjudicator's report on the thesis shall include the following,
- A critical account of the work of the candidate as embodied in the thesis and an evaluation of the work in terms of its contribution to the advancement of knowledge.
  - Details of any question/s to be asked to the candidate or points to be clarified by the candidate at the open viva-voce examination.
  - A definite recommendation as to whether the Ph.D. Degree should be awarded or not.
  - The adjudicators shall also be required to answer specifically the following.
    - i. Whether the Ph.D. Degree can be awarded on the basis of the thesis as presented?
    - ii. If the answer is **no**, whether the thesis can be resubmitted after revision/corrections? or a definite recommendation against the award of the Degree.
    - iii. Whether the thesis is fit for publication with or without revision?
- 25.7. If all the reports are positive/favourable, the Registrar (Evaluation) shall take necessary steps and inform the chairperson of the Board of Adjudicators to (a) prepare and send the consolidated report on the thesis, and (b) arrange for the open viva-voce examination inviting one of the external adjudicators approved by the Vice-chancellor.
- 25.8. If one of the examiners makes a qualified recommendation with valid reasons and recommends for re-submission of the thesis after suitable modifications, the Registrar (Evaluation) shall communicate to the concerned candidate, through the Research Supervisor, for re-submitting the thesis after suitable modifications within six months from the date of communication. In this case, the modified thesis shall be sent to the same adjudicator who recommended for re-submission.
- 25.9. No candidate shall, however, be permitted to resubmit the thesis more than once.
- 25.10. If one of the adjudicators makes a definite recommendation against the award of the Degree, the thesis shall be referred to another external adjudicator. If the report of such adjudicator is favourable, then the candidate shall be eligible to appear for the open viva-voce examination. Otherwise (i.e., even if the third

external adjudicator recommends against the award of Degree), the thesis shall be rejected. However, the reports of the adjudicators shall be made available to the candidate.

- 25.11. If both the external adjudicators make definite recommendations against the award of Ph.D. Degree, the thesis shall be rejected.

## 26. Viva-Voce Examination:

- 26.1. On the receipt of the favourable /satisfactory reports from all the three adjudicators, an open viva-voce examination shall be conducted on any working day by a Board constituted by the Registrar (Evaluation) based on the approval of the Vice-Chancellor. The Board shall comprise the following.

Research Supervisor:	Chairperson
One external Adjudicator (nominated by Vice-chancellor):	Member
Co-Research Supervisor, if any:	Member
Chairman of the BOS:	Member
Chairman of the P. G Department of the University:	Member

26.1.1. In case where the Research Supervisor cannot attend the viva-voce examination, then the co-supervisor or external adjudicator shall be made the chairperson. However, a written consent from the Research Supervisor and prior approval from the Vice-chancellor shall be mandatory. When an external adjudicator is appointed as the chairperson, then one more external adjudicator who adjudicated thesis shall be invited as the member if he/she is from India. Otherwise, another expert from out of the panel approved by BOS shall be invited as the member.

26.1.2. Under extraordinary circumstances where either of external adjudicators cannot be present for the viva-voce examination, the Vice-chancellor shall be competent to appoint another external substitute expert from out of the panel approved by the Board of Studies.

26.2. Besides the above, the chairperson of the Viva-voce Board shall invite the chairperson and members of Doctoral Committee, and send a circular to all the P.G. Departments (including his/her own Department) inviting interested Faculty Members, Research Scholars and Students to attend the viva-voce examination.

26.3. The Viva-voce examination shall primarily be designed to test the understanding of the candidate on the subject matter of the thesis including the methodology employed and the competence in the field of research. Any of the points raised by the adjudicators shall be clarified during the viva-voce examination, and the report of the examiners shall be made available to the Board for Viva-voce examination.

26.4. In the case of candidates who are abroad and unable to be present physically, viva-voce examination may be held through video-conference mode as described above (with open viva) after the candidate pays the additional fees prescribed by the University from time to time.

  
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- 26.5. The Report of the Open Viva-voce examination shall be signed by only the Research Supervisor and the External Examiner.
- 26.6. If the performance of the candidate in viva-voce examination is not satisfactory, he/she may be allowed one more chance to reappear for the vive-voce within three months.
- 27. Award of Ph.D. Degree:**
- 27.1. After the successful completion of the open viva-voce examination by the candidate, the chairperson, Viva-voce Board, shall prepare and send the minutes of the viva-voce meeting (minutes shall be signed by the chairperson and external member) based on the candidate's performance in the viva-voce examination recommending to the University, on behalf of the Board, to award the Degree of Doctor of Philosophy to the candidate.
- 27.2. Before the award of Ph.Degree, the degree awarding University shall issue a provisional certificate certifying to the effect that the Degree has been awarded in accordance with the provisions of UGC Regulations 2022.
- 28. Depository with UGC:**
- Following the successful completion of the evaluation process and announcement of the award of Ph.D., the University shall submit a soft copy of the Ph.D. thesis to the UGC within a period of 30 days, for hosting the same in INFLIBNET, accessible to all Institutions/ Universities.
- 29. Publication of Thesis:**
- 29.1. After the award of Ph.D. Degree, the entire thesis or any part of the thesis may be published by the candidate with the written permission of the University, giving due credit to the Research Supervisor. Two copies of the published works shall be submitted to the University Library.
- 29.2. After the award of the Ph.D. Degree, the thesis or any publication derived from the thesis work shall be the property of the University. The University shall rightfully share with the candidate and Supervisor for any copyright, patent or recognition to the thesis.
- 29.3. If a thesis is proved to be a copy/ plagiarism/ academic misrepresentation, the University has powers to rescind the degree.
- 30. Prohibition for doing Ph.D.:**
- The statutory officers of the University are prohibited from registering for Ph.D. degree in the same University during their tenure.
- 31. Repeal:**
- 31.1. The existing Ph.D Regulations of the University 2019, accented by the Chancellor of the University on shall stand repealed.
- 31.2. Notwithstanding anything contained in these Regulations, the provisions of any Guidelines, Order, Rule or Regulations in force shall be inapplicable to the extent of their inconsistency with these regulations.

**32. Savings Clause:**

Notwithstanding anything done or any action taken, decision or direction initiated under the Act and Regulation in force at time earlier than these Regulation shall be deemed to have been done or taken under these regulation.

**33. Removal and Difficulties:**

If any difficulty arises in the implementation of these Regulations, the Vice-Chancellor shall, in consultation with the Deans, be competent to issue necessary clarifications and at the earliest possible opportunity and thereafter report the action taken by him to the Academic Council.



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